



**NWF HEALTH NETWORK
Request for Proposal
02-2023**

ADOPTION SERVICES
for the period
September 1, 2023 – October 31, 2027

Closing Date:
June 2, 2023

Contact Person:
Jeremy Scanlon, Director of Contract Administration
525 N. Martin Luther King Jr. Blvd.
Tallahassee, FL 32301
(850) 410-0210 ext. 211
jeremy.scanlon@nwfhealth.org

Section 1 - Request for Proposal

I. Introduction

A. NWF Health Network (NWFHN) serves as the Network Management agency for child welfare and substance abuse and mental health (behavioral health) services in northwest Florida. Our sole purpose is to provide the highest quality child welfare, substance abuse and mental health services to children, adults and their families within their communities through a managed network of accredited providers. We serve as a centralized source of resources and support for our community and agency partners. Our agency is far more than an administrative office, however. At NWFHN, we strive to develop relationships with our children and families so we can provide them with the individualized attention they need. At NWFHN, we believe that children have the right to grow up safe, healthy and fulfilled in families that love and nurture them and that the children, adults and elders in our communities deserve exceptional behavioral health services that meet their needs.

II. Statement of Need

- A. Adoption Services are designed to secure permanency for children adjudicated dependent with a primary or concurrent goal of adoption. The program is a collaborative effort between NWFHN and the provider to achieve permanency for the largest number of children possible under the DCF Contract between NWFHN and the Department while ensuring safety, permanency, and the promotion of well-being of the child.
- B. The purpose of this Request for Proposals (RFP) is to define NWFHN's minimum requirements, solicit proposals, gain adequate information by which NWFHN may evaluate the services offered by Applicants, and as a result, enter into a contract with the successful Applicant(s).
- C. NWFHN is seeking qualified provider(s) who can administer Adoption Services in Circuit 1 (Escambia, Okaloosa, Santa Rosa, and Walton counties). This procurement **does not** include Case Management Services, Safety Management Services, Post-Adoption Services, or Independent Living Case Management Services. Respondents may submit a proposal for one of the counties individually, or submit a proposal for multiple counties.
- D. Respondents to this solicitation or persons acting on their behalf may not contact, between the release of the solicitation and the end of the 72-hour period following the agency posting the notice of intended award, excluding Saturdays, Sundays, and state holidays, any employee or officer of the executive or legislative branch concerning any aspect of this solicitation, except in writing to the procurement officer or as provided in the solicitation documents. Violation of this provision may be grounds for rejecting a response.**

III. Scope of Service

A. NWFHN has been contracted to provide Adoption Services as outlined in Rule Chapter 65C-16, F.A.C. The major goals of the program is to support training of prospective adoptive parents; advise staff on the most appropriate placement for each child based upon family strengths and demographics; increase the number of children reaching permanency through adoption, provide services in a manner that adheres to the concept and principles of Family Centered Practice and promotes well-being; and commit to providing services that embraces the practices of Trauma Informed Care.

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B. Upon the effective date of this Subcontract, Provider shall establish and maintain working agreements as required by law or Department request. The Provider can choose to propose on all counties or individual counties. The annualized budget information collectively for all counties and individually for individual counties is included below:

C. The annualized budget amount for all counties (Escambia, Okaloosa, Santa Rosa, and Walton counties) shall not exceed **\$2,285,748.00.**

1. The annualized budget amount for Escambia County shall not exceed **\$1,115,252.00.**
2. The annualized budget amount for Okaloosa County shall not exceed **\$379,786.00.**
3. The annualized budget amount for Santa Rosa County shall not exceed **\$410,925.00.**
4. The annualized budget amount for Walton County shall not exceed **\$379,786.00.**

D. Respondents should concisely answer the following questions in a written format by 3:00 p.m. EST, June 2, 2023. Respondent(s) shall submit to NWFHN one (1) original of all documents and one (1) copy of the response in separately sealed envelopes, as well as (1) electronic copy of the response submitted on a flash drive as PDF and Excel Files viewable in Adobe Acrobat Reader. The original and supporting documents should have the name of the respondent, and the designation "Original" clearly marked on the sealed envelope. Copies should be marked accordingly. The two (2) envelopes should then be securely sealed within a single envelope or other container. The envelope/container shall be mailed to 525 North Martin Luther King Junior Boulevard, Tallahassee, Florida 32301, and the outside of the sealed package containing the original, the copies and the electronic copy should be clearly marked with the following:

1. **"RESPONSE TO RFP # 02-2023 TO BE OPENED ONLY BY JEREMY SCANLON, DIRECTOR OF CONTRACT ADMINISTRATION."**
2. Failure to respond to this RFP in this manner may result in disqualification from consideration.

I. Questions to be answered:

II. Programmatic Reply (Binder One)

A. Proposals submitted by Applicants should include detailed description of the services to be provided, how they will be delivered, and how they will meet the objectives referenced in this RFP. NWFHN encourages Applicants to submit as comprehensive and explicit a response as reasonably possible. Broad generalizations and simple statements will not provide NWFHN with the information needed to properly evaluate the efficiency and potential success of the proposed approach and services. The following guidelines and program components should be considered and discussed in the proposal:

1. Target population: Provide an estimate of how many children and families will be served. Include any evidence or best practice research that suggests that the proposed programming will be successful with the proposed target population.
2. Program services: Submit a detailed proposal of how your agency will provide a full array of Adoption Services as outlined by this RFP. This proposal must include staffing patterns, access procedures, and internal quality improvement activities to

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determine effectiveness, data management processes, and resources to ensure timely and accurate documentation of service delivery.

3. Service delivery area: Applicants will be expected to provide services to children and their families residing in the counties of Circuit 1.
4. Implementation Plan of Operation Readiness: Outline timelines and critical milestones associated with the implementation of the program.
5. Quality Improvement: A commitment to continuous quality is essential in the NWFHN system of care.
 - a. How will your agency limit the following: maltreatment during service provision, unsuccessful adoptions, and adoption disruptions?
 - b. How will your agency approach work with families and other stakeholders to ensure timely permanency?
 - c. How does your agency ensure the process of identifying best practice?
 - d. How does your agency ensure the best practices to enhance the quality of work and timeliness of adoptions? Please provide a description of your process with specific timeframes to finalization.
 - e. How will your agency address well-being of the children being served?
 - f. How will your agency utilize technology to enhance contacts with Caregivers and children include those residing in another jurisdiction?
 - g. Describe how the agency will communicate changes in Florida Statute, Florida Administrative Code, and Operating Policies and Procedures with staff.
6. Recruitment: How will your agency recruit adoptive families for children with special needs and families that reflect the racial and ethnic diversity of children waiting for adoptive homes?
7. Assessment: Describe any and all strength-based assessments that will be used to identify client needs and strengths, direct service planning and evaluate progress. Also indicate the frequency with which the assessments will be completed, reviewed and how they will be used to improve client outcomes.
8. Family engagement: Describe specific efforts to positively and effectively engage and involve families in the proposed services and to maintain their effective engagement and involvement throughout service delivery.
9. Social Networks and Informal Supports: To avoid dependency on formal systems, describe how services and supports will encourage building and strengthening social networks and natural supports of family, friends, and community resources for children and families.
10. Referral: Describe how families will be identified and enrolled in the proposed services. If referral requires coordination with other agencies, describe how those agencies will be engaged and the specifics of the proposed referral process.
11. Accessing and availability of services: Describe where services will be provided:
 - a. Describe the days and hours that services will be provided.
 - b. How will after hours emergencies be handled by the agency?
 - c. How will caregivers and families reach case managers if there is an after hour emergency?

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12. Service coordination and information sharing:
 - a. Describe planned efforts to ensure that proposed services are integrated with other services being provided to the child and family.
 - b. If a team approach is proposed, list the members of the team as specifically as possible and describe in detail how the team will function. How, when and where will it meet and how will individual members be identified, engaged and maintained as effective participants? How and with what other agencies and individuals will client information, including assessments and progress reports, be shared?
 - c. If limits on or barriers to the sharing of information with NWFHN staff and/or the First Judicial Circuit Court are anticipated, please describe and explain them. Provide sample or actual cooperative interagency agreements or contracts (such as letters of agreement or memoranda of understanding) if you believe that they will enhance effective interagency cooperation. Simple letters of support that do not provide a detailed description of how the agencies will actually interact are not necessary.

13. Outcome measurement: Applicant's proposal shall address how the agency intends to meet each outcome measure:
 - a. Describe how the necessary data will be gathered to actually measure the outcomes. Include proposed timeframes for measuring and reporting outcome progress.
 - b. Describe and/or demonstrate how the outcome measurement information and data will be presented to NWFHN.
 - c. If data gathering will require the efforts of other agencies or entities, present proof of their willingness to assist or describe the efforts that have been made to ensure their cooperation.

14. Documentation:
 - a. How does your agency ensure quality documentation with required reports/ assessments, Adoption Home Studies, Adoption Applicant Review Committee Packets and FSFN notes?
 - b. Explain how the agency rectifies instances of poor quality documentation to ensure this does not continue.
 - c. How does the agency ensure thorough documentation of the assessments and decision making strategies use in Adoption Services?

15. Adoption Finalizations: Applicant's proposal shall explain the agency's process to ensure adoption finalizations occur within 90 days of the child being legally free for adoption and the child is placed in their identified adoptive home.
16. Separated Siblings: Describe the agency's efforts to continue meaningful connections between siblings that are separated through adoption.
17. Discharge:
 - a. Describe the expected length of service.

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- b. Describe the process of referring adoptive families for Post Adoption Services.
- 18. Cultural competence:** Describe specific efforts to identify, acknowledge and effectively consider the client's culture, including but not limited to such areas as, race, national origin, religion, sexual orientation, and gender, in the provision of services.
- 19. Staffing:**
- a. Include a detailed description of proposed staffing patterns. Include each position's responsibilities, educational requirement, work experience and specialized training requirements for each position. Provisions for competent and adequate supervision and administration shall also be necessary. All employees of the selected organization(s) who will be providing adoption services will be required to complete adoption competency training in order to increase adoption competencies that focuses on the core issues that are inherent to the adoption process.
 - b. Describe efforts that will be employed to recruit and retain staff and reduce turnover among those who have direct contact with children and families.
 - c. If your agency currently provides Adoption Services, please answer the following questions:
 - i. What is the stability of adoption staff and supervisors within your organization?
 - ii. How has your agency addressed staff turnover issues, and what have been the results?
 - iii. What are your plans for staff retention if selected to receive an award under this advertisement?
 - iv. What is the current average caseload size for staff in your organization?
 - v. What is the average unit census by supervisor within your agency?
- 20. Staff Performance:** Staff performance issues and decision making and judgement in child welfare can have a detrimental effect on children and families being served.
- a. Describe your agency's philosophy toward the treatment of employees.
 - b. What are some examples of performance issues which have had to be addressed in your agency, and how were they handled?
 - c. Has your agency ever had to address situations where staff made poor decisions or demonstrated poor judgement in the field with a family? If so, please describe the incident(s), and how was it handled by your agency?
 - d. What is the stance of your human resources department and policies toward addressing poor judgement or decision making with staff?

I. Fiscal Reply (Binder Two)

II. Financial Reply Title Page

A. The first page of the reply shall be a Title Page that contains the following information:

1. Title of reply;
2. RFP number;
3. Respondent's name and federal tax identification number;
4. Name, title, telephone number, and address of person who can respond to inquiries regarding the reply; and
5. Name of program coordinator.

III. Financial Information

A. Financial Management Systems

1. The respondent must describe its current financial management including oversight, segregation of duties, and policies. In addition, the respondent must describe its accounting systems and capability. The respondent must also submit copies of their independent financial and compliance audit report or certified financial statements for the two most recent fiscal years. The copies shall include all applicable financial statements, independent auditor's reports, management letters, and any corresponding re-issued audit components. If the respondent does not have audit reports for the two most recent years, reviewed or compiled financial statements with the applicable Certified Public Accountant's report shall be submitted. A recently created organization shall submit the requested financial reports from each of the founding collaborative partners. The respondent should also submit a copy of its approved cost allocation plan for the most recent fiscal year.
2. The purpose of these criteria is to provide NWFHN with a basis for evaluating the respondent's financial capabilities for undertaking this project. The response should address the following:
 - a. How well the respondent demonstrates the financial stability required to fulfill the terms and conditions of the contract?
 - b. Does the respondent have adequate financial resources for performance of the proposed projects or have the ability to obtain necessary financial resources before beginning performance?
 - c. Does the respondent have an adequate accounting system to support claims that are made in the Cost Allocation Plan?
 - d. What is the respondent's ratio of current assets to liabilities?
 - e. Does the respondent possess adequate cash or operating capital to meet projected monthly operating expenses pending receipt of first and subsequent contract payments?
 - f. What is the respondent's net worth?

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- g. Has the respondent satisfactorily completed all corrective actions related to finding(s) in previous audits or areas brought to management's attention in management letters?
- h. Can the respondent conduct business with NWFHN without relying on advances, especially if the project is not a new one?
- i. Has the respondent experienced previous financial difficulties in performing contracts for NWFHN
- j. Does the reply provide two years of financial information including any of the applicable statements:
 - i. Statements of Financial Position;
 - ii. Statements of Activities;
 - iii. Statements of Cash Flows;
 - iv. Statements of Changes in Financial Position;
 - v. Independent Auditors' Reports;
 - vi. Notes to Financial Statements;
 - vii. Summaries of Significant Accounting Policies;
 - viii. Federal Income Tax Return; and/or
 - ix. Any other relevant statistical information.

3. Proposed Service Efficiencies and Re-investment

- a. The respondent shall provide information on how it plans to develop efficiencies in the services being provided. From this plan, the respondent shall show how the cost reduction or added services that are realized from these efficiencies will be re-invested into the required services. The respondent must describe how they manage their resources to stay within their budget. In addition, the respondent must specify its approach to manage/control overtime wages as well as Purchase of Service funding allocations. Purchase of Services (POS) funds are earmarked for the provision of services to clients in the child welfare system. These funds pay private providers (organizations) for direct services to agency clients.

4. Ongoing Approach to Reduce Administrative Costs and Expand Services

- a. The respondent shall provide its ongoing approach to reduce administrative costs, without affecting the quality of the services.

IV. Budget

A. The respondent must submit detailed budget information consisting of a Line Item Budget, a Budget Summary, a Budget Narrative, (contained in the Excel file by Service Area) (**EXHIBIT _A_**) and a Cost Allocation Plan with the reply to the RFP. Each of these categories is described below. The actual budget documents can also be found at the end of this RFP in **EXHIBIT _A_**.

- 1. Line Item Budget: This includes a line item budget as detailed in the "Budget Summary and Detail Instructions" and the "Budget Summary ", and contained in Excel. These documents can be found in EXHIBIT. This budget shows proposed

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total costs for the 12 month annual period. In the Line Item Budget, the respondent must include only costs identified as allowable (Allowable Costs) in accordance with the appropriate federal regulations governing cost principles and audit requirements for federal awards. See C.F.R. Chapters 1 and 2, Part 200, 215, 225, and 230 as applicable. Also, Administrative Costs, including any indirect costs that are administrative in nature, must not exceed 10% de Minimis cost of the total direct operating costs in the proposed program budget or the federal approved rate.

2. Budget Narrative: The respondent must submit a complete budget narrative to explain each budget item and include all of the information required in Excel. The respondent must include the past three year history of non-personnel expenses. If the respondent has had previous contracts with NWFHN, the respondent must also disclose any issues with unused funds in prior years and provide an explanation of how all funds awarded through this contract will be dedicated to services or returned to NWFHN.
3. Cost Allocation Plan: The Cost Allocation Plan must identify the methods and procedures that the respondent will use to allocate costs between the proposed services and any other programs or funding sources the respondent has for each year of the proposed contract. It should establish a plan for the categorization of direct, allocable and indirect costs. It must also identify, by line item, any cost in the proposed budget which will be charged at less than 100% to the contract. Each line item of cost must include enough description to clearly identify its purpose and where the cost correlates to the budget summary line item, if applicable. There must be schedules that clearly identify methodologies supporting the portion that is allocated and expensed to the project. The respondent's Cost Allocation Plan must include any indirect costs included in the Cost Reply, the indirect rate, and the allocation methodology used to determine the indirect rates. The plan should ensure that costs treated as indirect costs have not been claimed as direct costs and that similar types of costs have been accounted for on a consistent basis. The Plan should include a certification stating that the plan meets the requirements of Title 2, Part 200, C.F.R., Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The budget totals should be based on available funding projections, if any, and if different, the respondent should explain the differences.
 - a. Match: There is no match requirement for this service line.
 - b. Subject to Agency selection FTE's may change during negotiation.

V. Qualification Requirements

A. Mandatory contract award and performance criteria include:

1. The respondent must be accredited by the Council on Accreditation (COA) or other acceptable national accrediting body. It's not required to be accredited to have a Child Placing Agency License through DCF.
2. The respondent must be a non-profit organization.
3. The respondent must have professional liability coverage with minimum limits of \$1,000,000/\$3,000,000. The respondent must be willing to add NWFHN as an additional insured on their insurance policies and be willing to add DCF as well if this requirement is mandated in the contract between NWFHN and DCF.

VI. Disqualification Criteria

A. NWFHN will not award contracts to any agency or its Providers and/or sub-providers that:

1. Have been barred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State, or local Department or agency;
2. Have within a 3-year period preceding this proposal, been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
3. Are presently indicted or otherwise criminally or civilly charged by a government entity with commission of any of the offenses enumerated in the paragraph above.

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VII. Schedule of Events and Deadlines

Activity	Date	Time Eastern	Address
RFP advertised and released on NWFHN’s website	May 1, 2023	5:00 PM (EST)	NWFHN Competitive Procurement: https://www.nwfhealth.org/about-us/competitive-procurements
Written Questions Due	May 11, 2023	5:00 PM (EST)	Jeremy Scanlon Director of Contract Administration Jeremy.scanlon@nwfhealth.org
Posting of Answers to Written Questions	May 22, 2023	5:00 PM (EST)	NWFHN Competitive Procurement: https://www.nwfhealth.org/about-us/competitive-procurements
Replies must be received by NWFHN:	June 2, 2023	3:00 PM (EST)	Jeremy Scanlon Director of Contract Administration Jeremy.scanlon@nwfhealth.org
Evaluation Period/Negotiation Period	June 9-23, 2023	N/A	NWF Health Network 525 N. Martin Luther King Jr. Blvd Tallahassee, Florida 32301
Anticipated posting of Intended Contract Award	July 5, 2023	5:00 PM (EST)	NWFHN Competitive Procurement: https://www.nwfhealth.org/about-us/competitive-procurements

VIII. General Information

- A.** This Request for Proposal (RFP) does not commit NWFHN to award a subcontract or to pay any costs incurred in the preparation or submission of response or costs incurred in making necessary studies for the preparation thereof or to procure or contract for services or supplies.
- B.** NWFHN reserves the right to reject any or all responses to this RFP and to negotiate with any of the respondents in any manner deemed to be in the best interest of NWFHN.
- C.** NWFHN reserves the right to withdraw the RFP, add new considerations, information or requirements at any stage of the procurement process and to reject the response of any organization that has previously failed to perform properly or failed to perform in a timely manner in subcontracts of a similar nature, or who, in the opinion of NWFHN, is not in a position to perform or is not sufficiently qualified to perform the subcontract.
- D.** The funding for this proposal may contain federal monies and as such the awardee may be required to comply with provisions of the Uniform Grant Guidance, 2 Code of Federal Regulation 200.
- E.** This RFP contains no contractual proposal of any kind; any response submitted will be regarded as a response to the RFP and not as an acceptance by the respondent of any proposal by NWFHN. No contractual relationship will exist except pursuant to a written subcontract document signed by the authorized official of NWFHN and by the successful respondent(s) chosen by NWFHN.

**NWF HEALTH NETWORK
CHILD WELFARE
(SAMPLE) STANDARD PROVIDER SUBCONTRACT**

PROVIDER RELATIONSHIP	<input type="checkbox"/> Vendor	<input checked="" type="checkbox"/> Subrecipient
CONTRACT #	Click here to enter text.	VENDOR/ SUBRECIPIENT NAME Click here to enter text.
SERVICE	<input type="checkbox"/>	<input type="checkbox"/>
FUNDING (Per Service)		
FUNDING (Total)		

THIS SUBCONTRACT is made and entered into this **DATE** between **BIG BEND COMMUNITY BASED CARE, INC., d/b/a NWF HEALTH NETWORK** a Florida non-profit corporation with offices at 525 North Martin Luther King Jr. Blvd., Tallahassee, Florida 32301 (hereinafter referred to as “NWFHN”), and **Vendor/Subrecipient Legal Name**, (hereinafter referred to as “Provider”). Hereinafter NWFHN and Provider may be individually referred to as “Party” or jointly as the “Parties.”

WHEREAS, NWFHN entered into a contract (the “DCF CBC Contract”), with the Florida Department of Children and Families (the “Department”) pursuant to which NWFHN shall provide foster care and related services pursuant to s. 409.986 and 409.988 Florida Statutes (F.S.), and prevention services, as the Lead Agency for community-based care in Bay, Calhoun, Escambia, Franklin, Gadsden, Gulf, Holmes, Jackson, Jefferson, Leon, Liberty, Madison, Okaloosa, Santa Rosa, Taylor, Wakulla, Walton, Washington counties.

WHEREAS, NWFHN desires to retain Provider to provide services in connection with NWFHN’s performance under the DCF CBC Contract. A copy of the Contract is available in hard copy by request; and

WHEREAS, Provider is duly licensed, if applicable, and qualified to provide certain child protection and/or Child Welfare services under the DCF CBC Contract, desires to perform the services described in this Subcontract in connection with NWFHN’s performance under the DCF CBC Contract.

NOW THEREFORE, in consideration of mutual promises set forth herein, the Parties hereby agree as follows:

1. PURPOSE

NWFHN is engaging the Provider for the purpose of providing services to in accordance with the terms and conditions specified in this Subcontract including all attachments and exhibits which constitute the Subcontract document, together with any documents incorporated by reference, which contain all the terms and conditions agreed upon by the Parties.

2. EFFECTIVE AND ENDING DATES

This Subcontract for services shall begin on **DATE**, and shall continue in full force until **DATE**, unless earlier terminated according to the provisions of this Subcontract.

3. OFFICIAL PAYEE AND PARTY REPRESENTATIVE

a. The name, address, telephone number and e-mail address of the Provider's official payee to whom the payment shall be directed on behalf of the Provider are:

Name: Click here to enter text.
Address: Click here to enter text.
Phone: Click here to enter text.
Email: Click here to enter text.

The name of the contact person and address, telephone, and e-mail address where the Provider's financial and administrative records are maintained are:

Name: Click here to enter text.
Address: Click here to enter text.
Phone: Click here to enter text.
Email: Click here to enter text.

b. The name, address, telephone number and e-mail address of the Provider's representative responsible for administration of the program under this Contract (and primary point of contact) are:

Name:
Address: Click here to enter text.
Phone: Click here to enter text.
Email: Click here to enter text.

c. NWFHN will assign a designated Contract Manager. The NWFHN Contract Manager is the primary point of contact through which all contracting information flows between NWFHN and the contracted Provider. The NWFHN assigned Contract Manager can be reached at the following address and telephone number:

Name: Click here to enter text.
Address: 525 North Martin Luther King Blvd., Tallahassee, FL 32301-1054
Phone: 850-410-1020
Email: Click here to enter text.

4. STANDARD CONTRACT REPORTS

Provider shall submit all reports identified, to the NWFHN Contract Manager. All Core Subcontract reports shall be submitted in accordance with Exhibit A, Required Reports. Provider shall submit any information necessary to complete in a timely manner all reports required under the currently executed DCF Contract. Failure to submit complete and correct reports identified in Exhibit A, Required Reports, in a timely manner may result in a delay or

withholding of payment to Provider by NWFHN until such reports are received. NWFHN shall notify the Provider in writing of any changes to format or submission requirements.

5. COMPLIANCE WITH STATUTES, RULES AND REGULATIONS

In performing work under this Subcontract, Provider shall comply with all applicable Federal, State and local laws, rules and regulations relating to its performance under this Subcontract as they may be enacted or amended from time to time, as well as any court or administrative order, judgement, settlement or compliance agreement involving the Department which by its nature affects the services provided under this Subcontract.

6. MISCELLANEOUS

- A. HEADINGS. The headings in this Subcontract are inserted for convenience or reference only and shall not affect the meaning or construction hereof.
- B. ENTIRE SUBCONTRACT. This Subcontract represents the entire understanding between the Parties relative to the matters addressed herein. There are no restrictions, promises, warranties or undertakings other than those set forth or referred to herein.
- C. SEVERABILITY. If any term, provision, covenant, or restriction of this Subcontract is held by a court of competent jurisdiction to be invalid, void, or unenforceable, the remainder of the terms, provisions, covenants, and restrictions set forth herein shall remain in full force and effect.
- D. COUNTERPARTS. This Subcontract and any amendments hereto may be executed in counterparts, each of which shall be deemed an original and all of which shall constitute one and the same instrument, binding on all Parties hereto and the signature of any Party to any counterpart shall be deemed a signature to, and may be appended to, any other counterpart. The Parties shall execute two originals of this Subcontract. One original shall be retained by NWFHN and the other original shall be retained by Provider.

By signing this Subcontract, the Parties agree that they have read and agree to the entire Subcontract.

IN WITNESS THEREOF, the Parties hereto have caused this Subcontract including Attachments and Exhibits to be executed by their undersigned officials as duly authorized.

Click here to enter text.

**BIG BEND COMMUNITY BASED CARE,
INC. d/b/a NWF HEALTH NETWORK**

Signed by:

Signed by:

Name:

Click here to enter text.

Name:

Mike Watkins

Title:

Click here to enter text.

Title:

CEO

Date:

Date:

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List of Attachments included as part of this Subcontract

<input checked="" type="checkbox"/>	Attachment 1 – Programmatic Language	<input type="checkbox"/>	Exhibit A - Required Reports
	<input type="checkbox"/> Emergency Shelter	<input type="checkbox"/>	Exhibit B - Invoice Form
	<input type="checkbox"/> Residential Group	<input type="checkbox"/>	Exhibit C – Vacancy Adjustment Form
	<input type="checkbox"/> Case Management	<input type="checkbox"/>	Exhibit D – Match Reporting Form
	<input type="checkbox"/> Visitation	<input type="checkbox"/>	Exhibit E – Visitation Program Report
	<input checked="" type="checkbox"/> Adoption	<input type="checkbox"/>	Exhibit F – Attestation of Insurance Coverage
	<input type="checkbox"/> Safety Management Services/Primary Prevention	<input type="checkbox"/>	Exhibit G – Contract Budget
	<input type="checkbox"/> Intervention/Family Support Services	<input type="checkbox"/>	Exhibit H – Health Insurance Portability and Accountability ACT (HIPPA)
<input checked="" type="checkbox"/>	Attachment II – Administrative Language	<input type="checkbox"/>	Exhibit I – Affidavit Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion Contracts/Subcontracts
<input checked="" type="checkbox"/>	Attachment III – Financial Language	<input type="checkbox"/>	Exhibit J
<input checked="" type="checkbox"/>	Attachment IV – Laws & Regulations Language	<input type="checkbox"/>	Exhibit K
<input type="checkbox"/>	Attachment V	<input type="checkbox"/>	Exhibit L - Lobbying
		<input type="checkbox"/>	Exhibit M
		<input type="checkbox"/>	Exhibit N
		<input type="checkbox"/>	Exhibit O
		<input type="checkbox"/>	Exhibit P – Performance Measures

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**ATTACHMENT I
NWF HEALTH NETWORK
CHILD WELFARE - ADOPTIONS**

Section 2

I. Definition of Terms

- A. Abuse Hotline:** The Department's single statewide toll-free telephone number established for the purpose of receiving reports of child abuse, abandonment or neglect.
- B. Adoption:** The act of creating the legal relationship between parent and child where it did not exist, thereby declaring the child to be legally the child of the adoptive parents and their heir at law and entitled to all the rights and privileges and subject to all the obligations of a child born to such adoptive parents in lawful wedlock.
- C. Adopted from the Department:** A child permanently committed to the custody of the Department for the purposes of adoption and has been adopted by parents who have an approved home study by the Department.
- D. Adoptive Home:** A dwelling place together with the legal adoptive family or social unit that occupies it.
- E. Adoptive Placement:** The act of physically moving a child into the physical custody of the prospective adoptive parents and the signing of the Memorandum of Agreement to Adopt by the pre-adoptive parents.
- F. Adoption Home Application:** A Department-approved application that captures information needed to complete a general or child specific adoption home study.
- G. Adoption Home Study:** A written evaluation of the adoptive parents' capacity for adoptive parenthood. The study assesses the applicants' home and living environment, their marriage, if any, family and social history, relationships, and criminal history, if any.
- H. Adoption Application Review Committee:** A committee that provides consultation and assistance to an adoption counselor when the counselor and supervisor are recommending denial of an adoptive home study, or adoption case situations which present challenging issues, such as when multiple families apply to adopt the same child.
- I. Adoption Information Center:** Florida's Adoption Information and Referral Service, a statewide service for pregnant women considering placement of a child for adoption, adopted adults and birth parents and relatives seeking reunions and prospective adoptive parents interested in adopting a child.
- J. Adoption Reunion Registry:** A voluntary computer database which acts as a repository for current names, addresses and telephone numbers of parties to any Florida adoption.
- K. At-Risk Placement:** A placement of a minor in the home of an approved adoptive parent prior to the termination of the minors' parents' parental rights.
- L. Circuit/Region:** A geographic area through which the Department and community based care lead agencies plan and administer their programs.
- M. Disruption:** The termination of an adoption placement prior to legal finalization.
- N. Dissolution:** The return of a child to out-of-home care and the subsequent termination of the parental rights of the adoptive parents.
- O. Extension of Maintenance Adoption Subsidy:** Benefits provided to the family for a child who meets the eligibility criteria in Section 409.166(4), F.S.

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- P.** Florida Safe Families Network (FSFN): The State Automated Child Welfare Information System (SACWIS) for the state of Florida. FSFN is the official electronic record for each case. It contains information regarding children and their families that is entered either directly into the system or through Department-approved remote data capture devices.
- Q.** Interstate Compact on the Placement of Children: An agreement among states, enacted into law in all 50 states, the District of Columbia and the Virgin Islands, which governs the interstate movement of children. It establishes procedures for the interstate adoptive or out of home placement of children, including post-placement supervision.
- R.** Kinship Care: The full-time care of a child placed in out-of-home care by the court in the home of a relative or fictive kin.
- S.** Level 1 Foster Care: Applies to relative and non-relative caregivers who are providing care to children in the child welfare system with whom they do have a pre-existing relationship and are licensed after placement.
- T.** Match Staffing: A staffing that is convened to match a child available for adoption without an identified adoptive family with prospective adoptive families with non-child-specific approved adoptive home studies.
- U.** Multi-Disciplinary Team (MDT) Staffing: The group of people brought together to plan and coordinate mental health and related services to meet the child's needs in the most appropriate, least restrictive setting. Senate Bill 80 MDTs are specific to placement decisions and include all relevant parties for the best placement for a child or youth and include the child or youth when appropriate.
- V.** Non-Recurring Adoption Expenses: Adoption fees, court costs, attorney fees, and other expenses as defined in Section 409.166, F.S., that are directly related to the adoption of a special needs child, that were incurred prior to adoption finalization.
- W.** Post-Adoptive Services: Services and supports provided to families and children after the adoption is finalized, such as information and referral, support groups and counseling, and assistance with adoption subsidy.
- X.** Recruitment: The process of finding foster and adoptive parent resources for waiting children, using either formal media-based individual recruitment plan or effective informal procedures.
- Y.** Permanency: That condition under which a child can remain in a setting for the remaining years of the child's minority. Permanency can include, but is not limited to, reunification with parent(s), long term foster care, as defined in Ch. 39, F.S., guardianship, adoption, independent living, or long term relative/non-relative custody.
- Z.** Significant Emotional Tie: A substantial attachment between a child and his or her caretaker that is critical to a child's present and future well-being. The assessment of this attachment must include such factors as the length of time the child has known the caretaker and the attachment that exists in the relationship. This term is also used in the evaluation of a child's eligibility for adoption subsidy when the question of eligibility rests solely on his or her adoption by the current caregiver.
- AA.** Well-Being: The recognition that every child deserves the opportunity for health, learning and self-actualization.

II. General Description

A. Statement

1. This Subcontract includes the provision of Adoption Services. Adoption Services are designed to secure permanency for children adjudicated dependent with a primary or concurrent goal of adoption. The program is a collaborative effort between NWFHN and the Provider to achieve permanency for the largest number of children possible under the DCF Contract between NWFHN and the Department. The Provider understands that the joint mission of the Department and NWFHN in entering into the DCF Contract under which the Provider is serving as a subcontractor to NWFHN is to ensure the safety, permanency, and the promotion of well-being of the child.

B. Authority

1. The DCF Contract and this Subcontract are authorized by Section 409.1671, Florida Statutes, which requires the Department to privatize the provision of foster care and related services by contracting with competent community-based agencies.
2. Provider shall comply with all applicable and valid provisions of federal and Florida law, including, but not limited to, the federal and state laws set forth in the DCF Contract.
3. Service provider shall ensure compliance with NWFHN Operating Procedures located at <https://www.nwfhealth.org/about-us/operating-documents>.

C. Major Program Goals

1. To support training in which prospective foster and adoptive families learn to understand the dependency system and effectively care for the children placed in such homes and to assist NWFHN in identifying ongoing training needs for these families.
2. To advise NWFHN placement staff and adoptions staff of the most appropriate placement for each child, based upon family strengths and demographics, so that he or she can have individual needs met and can have minimal placement disruptions.
3. To increase the number of children reaching permanency through adoption.
4. To provide services in a manner that adheres to the concept and principles of Family Centered Practice and promotes child well-being.
5. To commit to providing services that embraces the practices of Trauma Informed Care.

D. Clients to be Served

1. General Description

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- a. Clients to be served are children with a primary or concurrent goal of adoption and prospective adoptive families in Escambia, Santa Rosa, Walton and Okaloosa counties.

2. Client Eligibility

- a. Clients eligible for services under this Subcontract are children who have been adjudicated dependent who have a primary or concurrent case plan goal of adoption. Clients also include prospective and approved adoptive families.

III. Provision of Services

A. Program Procedures

1. Comply with Rules 65C-13, 65C-15, and 65C-16, F.A.C. and adhere to NWFHN OP 400-421, *Services to Adoptive Parents*.
2. Comply with NWFHN Policies and Procedures Series 400: *Child Welfare Services Policies*, 400-416 — 400-421 found on the NWFHN website at www.nwfhealth.org/about-us/operating-documents.
3. Develop, implement and maintain written procedures for Incident Reporting, incorporating requirements of Rule 65C-14.016, F.A.C., and NWFHN OP 800-805, *Incident Reporting and Client Risk Prevention*, reporting of suspected abuse or neglect in accordance with Rule 65C-14.017, F.A.C., and prevention, reporting and services to missing children in accordance with NWFHN OP 500-500, *Prevention, Reporting and Services to Missing Children*.
4. Ensure that each home in which a child is placed under this Subcontract qualifies as an adoptive home under Ch. 63, F.S.
5. Comply with NWFHN's Quality Management Plan and participate as requested in activities related to quality control, quality assurance (both qualitative and quantitative), compliance reviews, and quality improvement. This plan shall be updated as necessary and is available upon request from the NWFHN Contract Department.
6. Enter required information for each case into FSFN. Each entry in the case chronological recording shall be accurate, complete and concise, and entered into FSFN within two (2) business days of the contact. Provider shall ensure the accuracy and integrity of all current client information. NWFHN shall track data and timeliness errors by Provider to assure compliance.
7. Participate in Quality Management activities with NWFHN and other Providers.

B. Recruitment and Training Activities

1. Provider shall:
 - a. Provide recruitment and retention activity to meet the placement and permanency needs of children. An individual recruitment plan shall be developed for each child awaiting adoption and shall be updated monthly.
 - b. Maintain an ongoing adoptive parent recruitment campaign. Recruitment efforts shall target capacity building to meet the network goal of maintaining sibling groups and other special needs children, including adolescents served by NWFHN.

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- c. Provide continuous and ongoing adoptive family recruitment and retention of adoptive families for special needs children, ensuring that families recruited reflect the racial and ethnic diversity of children waiting for adoptive homes and increase the number of children reaching permanency through adoption.
- d. Document all recruitment activities in FSN within two (2) business days of the activity.
- e. Provider shall complete a monthly training expenditure report containing all completed trainings during the reporting period. Training activities shall be documented monthly on the Title IV-E Training Report and submitted to contracts@nwfhealth.org by the 10th day of the following month. Provider's ability to earn Title IV-E Subcontract training funds shall be based upon the monthly number of training expenditures reported.
- f. Coordinate the provision of a NWFHN-approved pre-service curriculum for the prospective adoptive parents.
- g. Participate in adoptive parent preparation groups, including a NWFHN-approved pre-service curriculum, which is held throughout the Circuit on a frequency approved by NWFHN.

C. Home Studies

1. Provider shall:

- a. Coordinate the home study activity for prospective adoptive parents to meet the placement and permanency needs of the children served under this Subcontract, and the recruitment of families with a goal of placing all children, including sibling groups, in homes that are able to meet their physical and emotional needs.
 - b. Ensure the completion of a thorough adoptive home study of all prospective adoptive parents in accordance with NWFHN OP 400-418, *Adoptive Home Study*, and Rule 65C-16, F.A.C.
 - c. Ensure that the required documents noted in Rule 65C-16, F.A.C., are submitted with the adoption home study.
 - d. The Provider shall ensure that the Adoption Application Review Committee is notified when a review of a case is required and in accordance with NWFHN OP 400-419, *Adoptive Review Committee*.
 - e. In cases where employees of NWFHN and their relatives as well as subcontractors of the NWFHN and their relatives wish to apply to become adoptive parents, ensure that the adoptive home study is completed according to the applicable statute, rule, and NWFHN OP 1100-1129, *Foster Adopt Conflicts of Interest and Special Reviews*, which assures compliance to absence of conflict. Arrangements for all such employees shall be pre-approved by NWFHN to assure compliance and the absence of conflict.
2. In addition to the above items, Provider shall complete Child Studies on children who are legally free for adoption prior to presenting the child to a prospective adopted family and shall ensure Match Staffings are held to identify the best combination of child and prospective parent.

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D. Adoption Services. All special needs children whose parental rights are terminated are eligible for adoption.

1. Provider shall:

- a. Accept and be assigned secondary responsibility to the case when Termination of Parental Rights (TPR) is filed. Provider shall accept and be assigned primary responsibility upon verbal TPR.
- b. Complete and file the Adoption Plan. Provider shall update and file the Plan within thirty (30) days of the TPR.
- c. Register all children for whom parental rights have been terminated in the Florida Adoption Exchange within thirty (30) days of the filing of the TPR order, according to Section 409.167, F.S. Children for whom the TPR order is being appealed shall be registered on the exchange but listed as "on hold" while the TPR is under appeal. Children who have an identified adoptive family shall also be registered on the exchange. Any child who does not have an identified family shall be registered as "available." A child may not be registered as "on hold" because of mental health issues, delinquency incarceration, child not ready for adoption, or other similar reasons.
- d. Ensure that the adoptive family selection complies with all requirements of all recruitment, screening and application processes described in Rule 65C-16.004, F.A.C. and Chapter 120 F.S.
- e. Complete Matching Staffings at minimum every 45 days for children who are permanently committed to the Department with a goal of adoption and without an identified adoptive family to discuss and assess the strengths and needs of child. The goal of the staffing is to match the child with an approved adoptive family.
- f. Prepare for and participate in Judicial Reviews that occur no less than every five (5) months for children whose parental rights have been terminated and for whom the permanency goal is adoption including adoption recruitment activities for children awaiting adoption and current adoption placement activities.
- g. Select and coordinate services and resources necessary to meet the needs of the child to prepare the child for adoption. All efforts shall be documented in FSFN and in Judicial Reviews.
- h. Plan, coordinate and facilitate visitation when the decision is made to proceed with placement.
- i. Ensure that the case manager shall collect, redact (as necessary) and make available for adoption purposes, no later than at the time of the child's adoptive placement with the prospective adoptive parents, all documentation and information to fully disclose the history of each child to be adopted to the prospective adoptive parents as required by Section 63.085, F.S., and Rule 65C-16, F.A.C., and ensure that the prospective adoptive parents complete and sign DCF Disclosure Form 5328 which is incorporated herein by reference.
- j. Provide Post-Placement Supervision through home visits every thirty (30) days prior to finalization. The time of finalization shall be based upon the successful completion of Post-Placement Supervision.
- k. In the event that an adoptive placement is disrupted, ensure that the case manager records a disruption summary which provides an evaluation

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and assessment of the reasons for the disruption. The summary shall be recorded within ten (10) days after the disruption occurs and filed in the child's record.

- I. Where appropriate, the Provider shall provide post-placement support for all adoptive and foster/adoptive families in accordance with established procedures (see NWFHN OP 400-421, *Services to Adoptive Parents*).
- m. Upon adoption finalization or before anticipated finalization, provide the adoptive family with information regarding adoption-specific issues and parenting strategies and an assessment of possible post-adoption needs. The family shall be provided with information about the intake process in case the family needs post-adoption services prior to adoption finalization. This includes information regarding support groups and available adoption competent therapists in the area.

E. Subsidies

1. Provider shall:

- a. Provide adoption documentation for subsidy packets to the NWFHN Eligibility Specialist for approval. Any requests for supplemental subsidies shall be approved prior to discussion with adoptive parents. The Provider shall process all subsequent documentation for subsidy requests.
- b. Maximize the use of federal and state funding programs such as Medicaid, Supplemental Security Income (SSI) to accurately determine eligibility for Florida's TANF, Title IV-B and Title IV-E State Plans, and CFOPs 170-15 and 175-93. Additionally, the Provider shall ensure that its client eligibility records are maintained according to the Department's record retention schedule and made available for federal and state audits. Failure to earn appropriate funds may result in a corresponding reduction of the total amount paid under this Subcontract. Any adoption subsidy file that is determined to not be in compliance with these requirements may be subject to penalty and repayment of funds relating to the non-compliance.
- c. Ensure that each adoption subsidy file contains the required documentation, which shall include, but is not limited to:
 - i. Subsidy
 - 1). Subsidized Adoption Disposition Sheet
 - 2). Adoption Assistant Agreement
 - 3). Memorandum of Agreement
 - 4). Disclosure Form
 - 5). Adoption Information Page
 - ii. Legal Documents
 - 1). Shelter Petition
 - 2). Shelter Order
 - 3). TPR Petition
 - 4). TPR Order (on all parents)

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- 5). Surrenders
- 6). Adoption Petition
- 7). Final Decree Order

iii. TANF Related Documents

- 1). Eligibility Verification Form:
- 2). Removal Episode
- 3). Adoption Episode
- 4). SSI Verification Award Letter/Social Security Documentation
- 5). Birth Certificate
- 6). TANF Forms

iv. Child/Parent Section

- 1). Child Study (shall include description of special needs)
- 2). Approved Adoption Home Study
- 3). Applicant Adoption Review Committee Approval Form
- 4). Criminal Background Screening on Adoptive Parents
- 5). National/Federal
- 6). State
- 7). Local
- 8). Florida Abuse Registry Checks
- 9). Abuse Registry (Adam Walsh) Checks in all other relevant states (placements after 10/1/2006)

IV. Staffing Requirements

A. Staffing

1. The Provider shall ensure that all staff utilized are required by Florida law and by CFOP 60-25, Chapter 2, are of good moral character and meet Level 2 Employment Screening standards as specified in sections 435.04, 110.1127, and subsection 39.001(2), F.S, as a condition of initial and continued employment that shall include, but is not limited to:
 - a. Employment history checks;
 - b. Fingerprinting for all criminal record checks;
 - c. Statewide criminal and juvenile delinquency records check through the Florida Department of Law Enforcement (FDLE);
 - d. Federal criminal records check from the Federal Bureau of Investigation (FBI) via FDLE; and;
 - e. Security background investigation, which may include local criminal records check through local law enforcement agencies.
 - f. Attestation by each employee to meeting the requirement for qualifying employment pursuant to Chapter 435 F.S.
2. The Provider shall maintain an adequate administrative organizational structure and support staff sufficient to discharge its contractual responsibilities under this

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Subcontract.

3. The Provider shall comply with applicable rules, statutes, and licensing standards with regard to professional qualifications.
4. The Provider shall maintain staffing levels in agreement with the accepted budget and in compliance with applicable rules, statutes, and licensing standards.
5. Any change in the staffing pattern for the program will be negotiated between NWFHN and the Provider prior to implementation.

B. Professional qualifications

1. Provider shall ensure its staff and volunteers meet the qualifications, screening and training certification requirements as required by Section 39.001, F.S., Rules 65C-46, and/or 65C-15, 65C-33 F.A.C., Sections 435.04 and 402.40, and 402.731, F.S.
2. All staff providing dependency case management, completing or approving adoption home studies, conducting adoption pre-service training shall obtain certification as a Child Welfare Case Manager from the Florida Certification Board by completing the NWFHN and DCF required training and the certification program within twelve (12) months of successfully passing certification exam.

C. Training requirements

1. All case managers shall be certified to provide a NWFHN approved pre-service curriculum training to prospective adoptive parents. Case managers shall complete twenty (20) hours annually of adoption-related training. Case Manager are required to complete Adoption Competency training within the first year of hire.

D. Staffing Changes

1. Provider shall submit written notification to NWFHN within five (5) weekdays if its Chief Executive Officer (CEO), Chief Operating Officer (COO), or Chief Financial Officer (CFO) positions becomes vacant or is anticipated to become vacant and identify who is assuming the responsibilities of that position during the vacancy. Once the position is filled, NWFHN shall be notified in writing of the permanent placement.

V. Deliverables

A. Service Units

1. A service unit consists of one (1) month of adoption services as defined within this Subcontract.

B. Reports

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1. All Attachment I Reports shall be submitted in accordance with Exhibit A, Required Reports, inclusive of special instructions when applicable. All reports shall be complete, accurate, and timely. Any report found to be incomplete or in error shall be denied and Provider shall be required to resubmit until found to be satisfactory by NWFHN.
2. Failure to submit reports timely may result in delay of payment to Provider. In no event, regardless of the cause or circumstance, will NWFHN be responsible or liable for payment of any invoice submitted to NWFHN more than ninety (90) calendar days after the end of the month.
3. Performance measure data shall be provided to Provider by NWFHN's Quality Assurance Department each quarter and shall be reviewed at the quarterly performance meeting.
4. When requested by NWFHN, Provider shall participate in state-directed quality management processes, including reporting on quarterly activity, participation in the federal Child and Family Services review and development of follow-up improvement plans. Provider shall also ensure that all quality assurance reviewers attend Department-sponsored quality assurance reviewer training and pass the competency test within six (6) months of employment as a quality reviewer.
5. Provider shall submit to NWFHN's Permanency Specialist on a monthly basis:
 - a. A report containing the status of each child from the filing of the TPR petition through finalized adoption and a detail of completed and projected adoption finalizations by month.
 - b. An updated individual recruitment plan for each child without an identified adoptive family.

C. Documentation and Utilization

1. Adoption services are provided in an effort to finalize a targeted number of adoptions within the contract year, as specified in Client Outcome Measures/Performance Standards.
2. Family adoptive home records shall be kept by the Provider. These files shall contain the required documentation as set for in Rules 65C-13 and 65C-16.016, F.A.C.

D. NWFHN Responsibilities

1. NWFHN shall provide the Provider with all available background information on children to be placed pursuant to this Subcontract in order to assist in making appropriate placement recommendations.
2. At the Provider's request, NWFHN shall make available access to the FieldPrint locations in Circuit 1.
3. Nothing in this subcontract shall be interpreted to relieve case managers from the responsibility of providing home visits at the home that the child resides in

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accordance with NWFHN OP 400-404, Contact with Children and Caregivers.

4. NWFHN shall make payment within thirty (30) days after receipt of a complete and correct invoice package.

E. Performance Measures

Ref#	Category	Measure Description	Standard	Report Period	Required (Y/N)
System-Wide Metrics					
1.	Safety	Percent of children with no verified maltreatment within six months of termination of supervision.	95%	The month ending nine months prior to the report month (e.g., January 1, 2020 to January 31, 2020 for the report month ending September 30, 2020).	Y
2.	Safety	Children with no recurrence of verified maltreatment within 12 months of a prior verified maltreatment	90.9%	The month ending 15 months prior to the end of the report month. (e.g., March 1, 2020 - March 31, 2020 for the month ending June 30, 2021).	Y
3	Permanency	Children achieving permanency within 12 months of entering care.	41%	The month beginning 12 months prior to the end of the report month (e.g., June 1 through June 30, 2019 for the report month ending June 30, 2020).	Y
Ref#	Category	Measure Description	Standard	Report Period	Required (Y/N)
4.	Permanency	Children achieving permanency within 12 months for children in Out-of-home care between 12 and 23 months.	44%	The beginning of the day 12 months prior to the end of the report month (e.g., July 1, 2019 for the report month ending June 30, 2020).	Y
5.	Permanency	Children achieving permanency within 12 months for children in out-of-home care for 24 months or more	30%	The beginning of the day 12 months prior to the end of the report month (e.g., July 1, 2019 for the report month ending June 30, 2020).	Y
6.	Permanency	Children who do not re-enter foster care within 12 months of moving to a permanent home.	91.7%	The 12-month period ending 24 months prior to the end of the report quarter. (e.g., October 1 to September 30 for the 12-month period ending September 30).	Y
Case Management – Quantitative Metrics					
7.	Safety	Percent of children not abused or neglected while in out-of-home care.	98%	The month ending three months prior to the end of the report month (e.g., June 1, 2020 to June 30, 2020 for the report month ending September 30, 2020).	Y
8.	Safety	Percent of children not abused or neglected while receiving in-home services.	96%	The month ending three months prior to the end of the report month (e.g., June 1, 2020 to June 30, 2020 for the report month ending September 30, 2020).	N

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9.	Well-Being	Percent of children under supervision who are seen every 30 days.	99.5%	The month ending as of the end of the report month (e.g. June 1, 2020 – June 30, 2020 for the month ending June 30, 2020).	Y
10.	Well-Being	Percent of cases with caseworker visits with parents monthly.	80%	The month ending as of the end of the report month.	N
11.	Permanency	Children’s placement moves per 1,000 days in foster care.	≤ 4	The twelve (12) month period ending as of the end of the report month (e.g., April 1, 2017 to March 31, 2018 for the report month March 2018)	N
12.	Permanency	Percent of children placed with relatives or nonrelatives.	65%	The last day of the report month.	N
13.	Permanency	Percent of sibling groups where all siblings are placed together.	65%	The last day of the report month.	N
14.	Permanency	Number of children with finalized adoptions during each state fiscal year (SFY) ending June 30. SFY 2022-23 SFY 2023-24	316 TBD	Monthly: Performance through the end of the month prior to the report month Fiscal Year to Date: Same as monthly.	Y
Ref#	Category	Measure Description	Standard	Report Period	
<p>Case Management – Qualitative (Life of Case) Metrics</p> <p>The Department will be monitoring to establish a baseline system of measure across the state for the annual accountability system. DCF will waive performance penalties for qualitative measures until a baseline is established and targets are set for progressive performance improvement.</p>					
15.	Safety	Cases with concerted efforts to provide services to prevent entry/re-entry into out-of-home care.	95%	The month ending as of the end of the report month.	N
16.	Well-Being	Cases with quality caseworker visits with child every 30 days. (ATTACHMENT 1)	95%	The month ending as of the end of the report month.	Y
17.	Well-Being	Cases for which concerted efforts were made to assess and provide services to meet the needs of children, parents, and foster parents.	95%	The month ending as of the end of the report month.	Y
18.	Well-Being	Cases with concerted efforts to involve children and parents in case planning.	95%	The month ending as of the end of the report month.	N

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19.	Well-Being	Cases with quality caseworker visits with parents every 30 days to ensure safety, permanency, well-being, and support achievement of case goals. (ATTACHMENT 1)	95%	The month ending as of the end of the report month.	N
20.	Well-Being	Cases with concerted efforts to meet the educational needs of the child.	95%	The month ending as of the end of the report month.	Y
21.	Well-Being	Cases with concerted efforts to meet the mental/behavioral health needs of the child	95%	The month ending as of the end of the report month.	Y
22.	Well-Being	Cases with concerted efforts to meet the physical and dental health needs of the child.	95%	The month ending as of the end of the report month.	Y
23.	Well-Being	Cases with concerted efforts to meet the dental health needs of the child.	95%	The month ending as of the end of the report month.	Y
24.	Permanency	Cases with appropriate permanency goals established in a timely manner.	95%	The month ending as of the end of the report month.	Y
25.	Permanency	Cases for which concerted efforts were made to ensure visitation between a child in out-of-home care with parents and siblings in out-of-home care.	95%	The month ending as of the end of the report month.	Y

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ATTACHMENT II
ADMINISTRATIVE LANGUAGE

Section 3

I. Inspections and Corrective Action

- A.** NWFHN may conduct on-site or off-site reviews of Provider's program when considered necessary or as part of a Quality Assurance sampling function to evaluate compliance with requirements. Provider shall permit persons duly authorized by NWFHN or the Department to inspect and copy any records, papers, documents, facilities, goods and services of the Provider, which are relevant to this Subcontract, and to interview any clients and employees to assure NWFHN and the Department of the satisfactory performance of the terms and conditions of this Subcontract. Following such review, NWFHN shall deliver to Provider a written report of its findings and request for development of a Corrective Action Plan where appropriate. Final determination of the adequacy of the Provider's Corrective Action Plan (if required) rests solely with NWFHN. Provider agrees to timely correct all deficiencies identified in a monitoring or quality assurance report pursuant to the mutually agreed- upon Corrective Action Plan. Failure of Provider to submit an adequate Corrective Action Plan or timely correct all deficiencies noted in a monitoring or quality assurance report shall result in further action up to and including contract termination. Provider shall comply with all applicable NWFHN quality assurance/quality improvement plans. Provider shall also cooperate with NWFHN and/or the Department when any investigation is conducted regarding a regulatory complaint relevant to services provided by Provider under this Subcontract. NWFHN conducts on-going and periodic administrative and fiscal monitoring of all subcontracted services to manage risk by ensuring that subcontractors perform and comply with all contract terms and conditions, licensing requirements, applicable state statutes and administrative codes, federal rules and regulations, and NWFHN policies. The Provider shall comply with the monitoring process as described in NWFHN OP 713, Monitoring of Subcontracted Services.
- B.** Provider's failure to substantially and satisfactorily comply with the provisions of this Subcontract, or with any Corrective Action Plan created hereunder, may result in NWFHN imposing financial penalties upon the Provider, as outlined in this Subcontract.
- C.** NWFHN may deduct the amount of the penalty from payment due for invoices submitted to NWFHN by the Provider upon written notification. This provision shall survive the termination of this Subcontract.
- D.** NWFHN is committed to ensuring the provision of the highest quality services. Accordingly, NWFHN has expectations that where accreditation is generally accepted nationwide as a clear indicator of quality service, the majority of our Providers shall either be accredited or have a plan to meet national accreditation standards. If not accredited, Provider shall communicate in writing within six (6) months to inform NWFHN its anticipated timeframes for becoming accredited, or, upon mutual agreement with NWFHN, shall have a plan to meet generally recognized standards of the industry. Provider shall submit to the NWFHN all reports generated by such an accrediting entity.

- E. Provider shall submit, for review and approval by NWFHN, a Continuous Quality Improvement (CQI) Plan within thirty (30) days of the effective date of this Subcontract. At a minimum, the CQI Plan shall provide for the review of data relating to: incidents, accidents and client grievances; client input and satisfactions; performance data; and peer record review data and productivity results from quality improvement projects. Upon request by NWFHN, the Provider shall provide copies of its data/reports related to the above listed CQI Plan components.
- F. Provider shall participate in all activities related to the NWFHN or statewide quality management systems as requested.
- G. To the extent applicable, Provider shall comply with operating procedures of NWFHN referenced in the Subcontract which may be accessed via the web at <http://www.nwfhealth.org>.

II. Independent Contractor, Subcontracting and Assignments

A. Relationship of the Parties

1. The Parties to this Subcontract agree that the relationship created by this Subcontract is that of independent contractor. Neither the Provider nor any employee, agent or assignee thereof, shall be an employee of NWFHN or the Department. Neither the Provider nor any employee, agent, or assignee thereof, shall represent to others that it has authority to bind NWFHN or the Department unless specifically authorized in writing to do so. Neither the Provider nor any employee, agent or assignee thereof, shall be entitled to any benefits provided by NWFHN or the Department. All deductions for social security, withholding taxes, income taxes, contributions to unemployment compensation funds and all necessary insurance for Provider, and its officers, employees, agents or assignees shall be the sole responsibility of Provider.
2. The Provider agrees to co-locate with NWFHN and other subcontracted providers when co-location is feasible and agreed upon by all Parties. In the event that a co-location does occur, Provider agrees to follow all policies, procedures and instructions from NWFHN's Facilities Manager, including participating in efficiencies that co- location generates. The intent of co-location is to facilitate centralized access of services for families and professionals.

B. Assignments and Subcontracts

1. The Provider shall not assign the responsibility for this Subcontract to another Party without prior written approval of NWFHN, upon NWFHN's sole determination that such assignment shall not adversely affect the public interest; however, in no event may Provider assign or enter into any transaction having the effect of

assigning or transferring any right to receive payment under this Subcontract which right is not conditioned on full and faithful performance of Provider's duties hereunder. Any sublicense, assignment, or transfer otherwise occurring without prior approval of NWFHN shall be null and void. The Provider shall not subcontract for any of the work contemplated under this Subcontract without prior written approval of NWFHN, which shall not be unreasonably withheld.

- a. To the extent permitted by Florida Law, and in compliance with this Subcontract, the Provider is responsible for all work performed and for all commodities produced pursuant to this Subcontract whether actually furnished by the Provider or its subcontractors. Any subcontracts shall be evidenced by a written document. The Provider further agrees that NWFHN shall not be liable to the Provider's subcontractor in any way or for any reason. The Provider, at its expense, shall defend NWFHN against such claims.
- b. The Provider shall make payments to any subcontractor within seven (7) working days after receipt of full or partial payments from NWFHN in accordance with Section 287.0585, F.S., unless otherwise stated in the Subcontract between the Provider and its subcontractor. Failure to pay within seven (7) working days shall result in a penalty that shall be charged against the Provider and paid by the Provider to the subcontractor in the amount of one-half of one percent (.005) of the amount due per day from the expiration of the period allowed for payment. Such penalty shall be in addition to actual payments owed and shall not exceed fifteen percent (15%) of the outstanding balance due.
- c. The Provider shall include, or cause to be included, in all subcontracts (at any tier) the substance of all clauses contained in this Subcontract that mention or describe Subcontract compliance.
- d. The Provider shall include the requirements of the Employment Eligibility Verification (E-Verify) clause as described below, appropriately modified for identification of the Parties, in each subcontract.
- e. The Provider shall adhere to the Debarment and Suspension (Executive Orders 12549 and 12689)—A contract award (see 2 CFR 180.220) must not be made to parties listed on the government-wide Excluded Parties List System in the System for Award Management (SAM), in accordance with the OMB guidelines at 2 CFR 180 that implement Executive Orders 12549 (3 CFR Part 1986 Comp., p. 189) and 12689 (3 CFR Part 1989 Comp., p. 235), "Debarment and Suspension." The Excluded Parties List System in SAM contains the names of parties debarred, suspended, or otherwise excluded by agencies, as well as parties declared ineligible under statutory or regulatory authority other than Executive Order 12549.

III. Insurance and Transportation

A. The Provider shall maintain continuous adequate liability insurance coverage during the existence of this Contract and any renewal(s) and extension(s) thereof. With the exception of a state agency or subdivision as defined by subsection 768.28(2), F.S., by execution of this Contract, the Provider accepts full responsibility for identifying and determining the type(s) and extent of liability insurance necessary to provide reasonable financial protections for the Provider and the clients to be served under this Contract. The limits of coverage under each policy maintained by the Provider do not limit the Provider's liability and obligations under this Contract. Upon the execution of this Contract, the Provider shall furnish NWFHN written verification supporting both the determination and existence of such insurance coverage and shall furnish verification of renewal or replacement thereof prior to expiration or cancellation. NWFHN reserves the right to require additional insurance as specified in this Contract. During the existence of this Contract, and any renewal(s) and extension(s) of it, the Provider will maintain, and through contract require that its subcontractors maintain insurance in accordance with s. 409.993, F.S., any subsequent amendments to the statute, and the following requirements

1. As a direct provider of foster care and related services to children and families, the provider shall maintain continuous adequate general liability coverage in accordance with s. 409.993, F.S. The Provider shall maintain continuous adequate professional liability insurance coverage, including coverage for abuse and neglect, with the same limits and any other requirements of the statute for general liability insurance. The Provider shall maintain continuous adequate non-owned automobile liability coverage in accordance with s. 409.993, F.S.
2. The Provider's policies of insurance shall be provided by insurers licensed or eligible to do business in Florida and require the insurer to give NWFHN written notice of any intention to cancel or refuse to renew the policy at least 30 days prior to cancellation or non-renewal.
3. The Provider shall provide NWFHN with Acord® 25 certificates of liability insurance naming the Department and NWF Health Network as the certificate holder evidencing such insurance to be in full force and effect at all times during the term of this Contract, attached to a certification, signed by the Provider's authorized representative, that the Provider is in compliance with all applicable federal and state statutory and regulatory insurance requirements.
4. Submission of the foregoing shall not operate as acceptance by NWFHN of the adequacy of such policies to comply with these requirements.

IV. Notice of Legal Actions

A. The Provider shall notify NWFHN of legal actions taken against them or potential actions such as lawsuits, related to services provided through this Subcontract or that may impact the Provider's ability to deliver the contractual services, or adversely impact NWFHN.

NWFHN's Chief Operating Officer shall be notified within ten (10) days of Provider becoming aware of such actions or from the day of the legal filing, whichever comes first.

V. Mandatory Reporting Requirements

A. The Provider and any subcontractor shall comply with and inform its employees of the following mandatory reporting requirements. Each employee of the Provider, and of any subcontractor, providing services in connection with this Subcontract who has any knowledge of a reportable incident shall report such incident as follows: 1) reportable incidents that may involve an immediate or impending impact on the health or safety of a client shall be immediately reported to the Contract Manager; and 2) other reportable incidents shall be reported to the Department's Office of the Inspector General through the Internet at, <https://www.myflfamilies.com/about/additional-services-offices/office-inspector-general> or by completing a Notification/ Investigation Report (form CF1934) and emailing the form to the Office of the Inspector General at IG.complaints@myflfamilies.com. The Provider and subcontractor may also mail the completed form to the Office of the Inspector General, The Center, 2415 North Monroe St., Ste 400-I, Tallahassee, Florida 32303-4190 or via fax at (850) 488-1428. A reportable incident is defined in CFOP 180-4, which may be obtained from the Contract Manager.

B. Reportable incidents per CFOP 180-4 are:

1. Inappropriate employee acts or omissions that result in client injury, abuse, neglect, or death;
2. Fraud;
3. Theft;
4. Breaches of confidentiality by an employee, unless inadvertent and self-reported (e.g. revealing a reporter's name, providing confidential documents to an unauthorized persons, access of client files for non-business reasons, providing information from client files such as medical or benefits information, etc.) immediately upon confirmation by the circuit;
5. Falsification of official records (e.g. intentional alteration of State documents, misrepresentation of information during an official proceeding, intentional falsification of client case records, case notes, client contact reports, visitation records, or client home visits, creating false and fictitious files, etc.);
6. Misuse of position or State property, employees, equipment or supplies, for personal gain or profit (e.g., misuse of telephonic and communication devices, use of staff for personal services, soliciting on State property, conspiracy to conceal State property, misuse of the internet to conduct personal business as defined in policy, etc.);
7. Failure to report known or suspected neglect or abuse of a client;
8. Improper expenditure or commitment of public funds;

9. Contract mismanagement by a Department employee or a contractor, subcontractor, or employee of either (e.g. waste, misuse, or loss of a significant amount of public funds, evidence of egregious lack of judgment in the use of public funds, evidence that State or Federal laws, or State rules or Federal regulations have been violated, etc.);
10. Computer related misconduct (e.g., accessing FLORIDA, Florida's Safe Families Network (FSFN), system files of clients when there is no direct business involvement with the client, accessing inappropriate or pornographic web sites, sending threatening or harassing messages, misuse of email, etc.);
11. Any violation under Ch. 435, F.S., Employment Screening that would result in a disqualification from client contact duties (e.g., conviction for murder, manslaughter, assault and battery, kidnapping, false imprisonment, sexual battery, theft, robbery, child abuse, abuse and/or neglect of an elderly or disabled adult, sale of a controlled substance, resisting arrest, contributing to the delinquency of a minor, or other disqualifying offense); or
12. Any other wrongdoing that would be a violation of statute, rule, regulation or policy, excluding job performance and related deficiencies.

VI. Client Risk Prevention and Incident Reporting

- A. If services to clients are to be provided under this Subcontract, the Provider and any subcontractors shall, in accordance with the client risk prevention system, report those reportable situations listed in CFOP 215-6 in the manner prescribed in CFOP 215-6. Subcontractors shall use IRAS in reporting incidents. In addition, subcontractors shall immediately call in all deaths and other serious incidents to NWFHN and the Department relating to individuals served which are likely to have an adverse Departmental impact or statewide media coverage and follow up by entering the incident into the IRAS system.
- B. Provider shall also report those reportable situations listed in NWFHN OP 800-805, *Incident Reporting and Client Risk Prevention*, in the manner prescribed in such operating procedure or pursuant to Department operating procedures.
- C. The Provider shall immediately report any knowledge or reasonable suspicion of abuse, neglect, or exploitation of a child, aged person, or disabled adult to the Florida Abuse Hotline on the statewide toll-free telephone number (1-800-96ABUSE). As required by Chapters. 39 and 415, F.S., this provision is binding upon both the Provider and its employees.

VII. Emergency Preparedness Plan

- A. If the tasks to be performed pursuant to this Subcontract include the physical care or supervision of clients, the Provider shall, annually by May 1st, submit electronically via Contracts@NWFHealth.org an emergency preparedness plan which shall include provisions for records protection, alternative accommodations for clients in substitute care, supplies, and a recovery plan that shall allow the Provider to continue functioning in compliance with the Subcontract in the event of an actual emergency.

- B.** For the purpose of disaster planning, the term “supervision” includes a child who is under the jurisdiction of a dependency court. Children may remain in their homes, be placed in a non-licensed relative/non-relative home, or be placed in a licensed foster care setting.
- C.** No later than twelve (12) months following NWFHN’s original acceptance of a plan and every twelve (12) months thereafter, the Provider shall submit a written certification that it has reviewed its plan, along with any modifications to the plan, or a statement that no modifications were found necessary.
- D.** NWFHN agrees to respond in writing within thirty (30) days of receipt of the original or updated plan, accepting, rejecting, or requesting modifications. In the event of an emergency, NWFHN may exercise oversight authority over such Provider in order to assure implementation of agreed emergency relief provisions.

VIII. Intellectual Property

- A.** It is agreed that all intellectual property, inventions, written or electronically created materials, including manuals, presentations, films, or other copyrightable materials, arising in relation to Provider's performance under this Subcontract, and the performance of all of its officers, agents and subcontractors in relation to this Subcontract, are works for hire for the benefit of NWFHN and the Department, fully compensated for by the Subcontract amount, and that neither the Provider nor any of its officers, agents nor subcontractors may claim any interest in any intellectual property rights accruing under or in connection with the performance of this Subcontract. It is specifically agreed that NWFHN and the Department shall have exclusive rights to all data processing software falling within the terms of Section 119.084, F.S., which arises or is developed in the course of or as a result of work or services performed under this Subcontract, or in any way connected herewith.
- B.** If the Provider uses or delivers to NWFHN or the Department for its use or the use of its employees, agents or contractors, any design, device, or materials covered by letters, patent, or copyright, it is mutually agreed and understood without exception that the compensation paid pursuant to this Subcontract includes all royalties or costs arising from the use of such design, device, or materials in any way involved in the work contemplated by this Subcontract.
- C.** All applicable subcontracts shall include a provision that the Federal awarding agency reserves all patent rights with respect to any discovery or invention that arises or is developed in the course of or under the subcontract.

IX. Real Property

- A.** In the event that NWFHN furnishes Provider with assets, such as office furniture or equipment for the performance of services, all such assets shall remain, as applicable, the property of and under the control of NWFHN. Such assets shall not be moved/removed by Provider unless Provider first submits a “Property Relocation” form to NWFHN for approval. The Property Relocation and Property Inventory forms may be

obtained on the Department website at www.myflfamilies.com

- B.** NWFHN shall perform periodic inventories of all assets, such as office furniture and equipment. Provider shall complete, and submit by January 31st, an Annual Inventory Report of all property of a non-consumable and non-expendable nature purchased by the Provider with funds provided by this Subcontract and/or provided by NWFHN. NWFHN shall review the annual inventory report to ensure completeness and compliance with this Section. If Provider replaces or disposes of assets transferred to or purchased by Provider pursuant to this Subcontract, Provider shall provide accurate and complete information pertaining to the replacement or disposition of the asset on the Provider's annual inventory form.
- C.** In the event office furniture or equipment is lost, stolen or damaged, Provider shall immediately notify NWFHN of such event in writing. Once an asset is determined to be lost, stolen, or damaged, Provider shall ensure that a thorough investigation is made immediately. Upon the request of NWFHN, Provider shall pay NWFHN the replacement cost of any such lost, stolen or damaged asset. If the asset is not located as a result of the investigation, Provider shall file a report with NWFHN describing the missing item and the circumstances surrounding its disappearance. If the property was stolen, a police report shall accompany the documentation filed with NWFHN.
- D.** Assets purchased with contract funds are not to be depreciated by the Provider and charged back to NWFHN.
- E.** Any vehicle(s) transferred to Provider for use under this Subcontract shall be used solely to assist in the provision of services furnished by Provider, pursuant to this Subcontract. During the term of this Subcontract and any renewals, Provider is financially responsible for insurance and maintenance of the vehicle(s). Provider shall not dispose of the vehicle(s) without prior, written authorization from NWFHN. In the event Provider ceases providing the services under a subcontract with NWFHN, the vehicle(s) shall be returned to NWFHN in good and working order, reasonable wear and tear accepted.

X. Publicity

- A.** Without limitation, the Provider and its employees, agents, and representatives shall not, without prior NWFHN written consent in each instance, use in advertising, publicity or any other promotional endeavor any NWFHN or Department branding, the name of NWFHN or the Department or any affiliate or any officer or employee of NWFHN or the Department, or represent, directly or indirectly, that any product or service provided by the Provider has been approved or endorsed by NWFHN or the Department, or refer to the existence of this Subcontract in press releases, advertising or materials distributed to the Provider's prospective customers.

XI. Sponsorship

- A.** As required by Section 286.25, F.S., if the Provider is a non-governmental organization which sponsors a program financed wholly or in part by State funds, including any funds obtained through this Subcontract, it shall, in publicizing, advertising, or describing the

sponsorship of the program state: "Sponsored by (Provider's name), NWF Health Network and the State of Florida, Department of Children and Families". If the sponsorship reference is in written material, the words "NWF Health Network and the State of Florida, Department of Children and Families" shall appear in at least the same size letters or type as the name of the organization.

XII. Employee Gifts

- A.** The Provider agrees that it shall not offer to give or to give any gift to any employee of NWFHN or the Department, and the Provider shall not receive any gift from an employee of NWFHN or the Department. As part of the consideration for this Subcontract, the Parties intend that this provision shall survive the Subcontract for a period of two (2) years. In addition to any other remedies available to NWFHN, any violation of this provision shall result in referral of the Provider's name and description of the violation of this term to the Department of Management Services for the potential inclusion of the Provider's name on the suspended vendors list for an appropriate period. The Provider shall ensure that its subcontractors, if any, comply with these provisions.

XIII. Vendor Ombudsman

- A.** A Vendor Ombudsman has been established within the Department of Financial Services. The duties of this office are found in Section 215.422, F.S., which include disseminating information relative to prompt payment and assisting vendors in receiving their payments in a timely manner from a State agency. The Vendor Ombudsman may be contacted at (850) 413-5516.

XIV. Records, Retention, Audits, Inspections and investigations

- A.** Provider shall establish and maintain a financial management system to safeguard funds and tangible assets, promote stewardship, ensure the integrity of financial reporting and provide timely cost and utilization data. Provider shall also maintain books, records and documents (including electronic storage media) sufficient to reflect all income and expenditure of funds provided under this Subcontract.
- B.** Retention of all client records, financial records, supporting documents, statistical records, and any other documents (including electronic storage media) pertinent to this Subcontract shall be maintained by the Provider for a period of six (6) years after completion of the Subcontract or longer when required by law. Provider must adhere to Automated CBC Records Management Inventory System for the storage and retention of client records. In the event an audit is required by this Subcontract, records shall be retained for a minimum period of six (6) years after the audit report is issued or until resolution of any audit findings or litigation based on the terms of this Subcontract, at no additional cost to NWFHN or the Department.
- C.** Upon demand, at no additional cost to NWFHN, the Provider shall furnish records in their original format and/or duplications in a redacted format when requested by

NWFHN. The redactions must cover all health and other protected information. These redactions must be done in a reasonable timeframe and that timeframe must be agreed upon by the Provider and NWFHN. The redacted documents must be submitted to the NWFHN Record Custodian for final review prior to release.

- D. These records shall be made available at all reasonable times for inspection, review, copying, or audit by Federal, State, or other personnel duly authorized by NWFHN or the Department.

XV. Public Records

A. Unless exempted by law, all public records are subject to public inspection and copying under Florida's Public Records Law, Chapter. 119, F.S. Any claim by Provider of proprietary or trade secret confidentiality for any information contained in Provider's documents (reports, deliverables or work papers, etc., in paper or electronic form) submitted in connection with this Subcontract shall be waived, unless the claimed confidential information is submitted in accordance with paragraph b, below.

1. If the Provider has questions regarding the application of Chapter 119, F.S., to the Provider's duty to provide public records relating to this Subcontract, Provider shall contact the custodian of public records at 525 N. Martin Luther King Jr. Blvd., Tallahassee, FL, 32301, (850) 410-1020.

- a. Provider is required to comply with public records laws, specifically to:
 - i. Keep and maintain all public records required by NWFHN in order to perform the service(s).
 - ii. Upon request from NWFHN's custodian of public records, provide NWFHN with a copy of the requested records or allow the records to be inspected or copied within a reasonable time at a cost that does not exceed the cost provided in NWFHN OP 900-916, *Public Records Request: Procedure and Costs*, or as otherwise provided by law.
 - iii. Ensure that public records that are exempt or confidential and exempt from public records disclosure requirements are not disclosed except as authorized by law for the duration of this Subcontract term and following completion of this Subcontract if the Provider does not transfer the records to NWFHN.
 - iv. Upon completion of this Subcontract, transfer, at no cost, to NWFHN all public records in possession of the Provider or keep and maintain public records required by NWFHN to perform the service. If the Provider transfers all public records to NWFHN upon completion of this Subcontract, the Provider shall destroy any duplicate public records that are exempt or confidential and exempt from public records disclosure requirements. If the Provider keeps and maintains public records upon completion of this Subcontract, the Provider shall meet all applicable requirements for retaining public records. All records stored electronically shall be provided to

NWFHN, upon request from NWFHN's custodian of public records, in a format that is compatible with the information technology systems of NWFHN.

- b. The Provider shall clearly label any portion of the documents, data or records submitted that it considers exempt from public inspection or disclosure pursuant to Florida's Public Records Law as proprietary or trade secret. The labeling shall include a justification citing specific statutes and facts that authorize exemption of the information from public disclosure. If different exemptions are claimed to be applicable to different portions of the protected information, the Provider shall include information correlating the nature of the claims to the particular protected information.
- c. NWFHN, when required to comply with a public records request including documents submitted by the Provider, may require the Provider to expeditiously submit redacted copies of documents marked as confidential or trade secret in accordance with paragraph b, above. Accompanying the submission shall be an updated version of the justification under paragraph b, above, correlated specifically to redacted information. The redacted copy shall exclude or obliterate only those exact portions that are claimed to be proprietary or trade secret. If the Provider fails to promptly submit a redacted copy and updated justification in accordance with this paragraph, NWFHN is authorized to produce the records sought without any redaction of proprietary or trade secret information.
- d. NWFHN is not obligated to agree with the Provider's claim of exemption on the basis of proprietary or trade secret confidentiality and the Provider shall be responsible for defending its claim that each and every portion of the redactions of proprietary or trade secret information are exempt from inspection and copying under Florida's Public Records Law.
- e. All media requests for public records from NWFHN or Provider shall adhere to NWFHN OP 800-803, *Media Policy*, and NWFHN OP 900-916, *Public Records Request: Procedure and Costs*.

XVI. Client Information

- A.** The Provider shall not use or disclose any information concerning a recipient of services under this Subcontract for any purpose prohibited by State or Federal

law or regulations except with the written consent of a person legally authorized to give that consent or when authorized by law.

- B.** Provider agrees to maintain in strict confidence client information, including, without limitation, the information contained in 42 CFR, Chapter 1, Subchapter A, Part 2, as well as in the Health Insurance Portability and Accountability Act of 1996 (HIPAA), Public Law 104-191.
- C.** Provider also agrees to abide by The Department of Health and Human Services (HHS) rules, known as the HIPAA Administrative Simplification Rules, located at 45 CFR Parts 160, 162, and 164, and which include both: (1) the HIPAA Privacy Rule, created to set national standards for the protection of individually identifiable health information (PHI) by three (3) types of covered entities: health plans, health care clearinghouses, and health care providers who conduct health care transactions electronically; and (2) the HIPAA Security Rule, which sets national standards for the security of electronic protected health information. Both rules are administered and enforced by the Office of the Civil Rights (OCR).
- D.** Provider's compliance is also required in additional HIPAA Administrative Simplification Rules, known as: (1) the Transactions and Code Sets Standards; (2) the Employer Identification Standards; and (3) the National Provider Identifier Standards, which are administered and enforced by the Centers for Medicare & Medicaid Services (CMS). In 45 CFR Part 160, the Enforcement Rule provides standards for the enforcement of the HIPAA Administrative Simplification Rules.
- E.** The Provider also agrees to comply with the American Recovery and Reinvestment Act of 2009 (ARRA), as well as Title XIII of ARRA, known as the Health Information Technology for Economic and Clinical Health Act (HITECH Act), including, but not limited to the Breach Notification Rule, which requires notification following a breach of unsecured protected health information.
- F.** Provider shall maintain confidentiality on all financial information, statistical data, reports, standards, and membership listings, and agrees not to disclose any information contained within this Subcontract to any third Party, except as may be required by law or pursuant to a written consent executed by NWFHN.

XVII. Data Security

- A.** An appropriately skilled individual shall be identified by the Provider to function as its Data Security Officer. The Data Security Officer shall act as the liaison to the NWFHN designated Data Security Officer and shall maintain an appropriate level of data security for the information the Provider is collecting or using in the performance of this Subcontract. An appropriate level of security includes approving and tracking all Provider employees that request or have access to any departmental data system or information.
- B.** The Provider shall provide the latest Departmental security awareness training to its staff and subcontractors who have access to Departmental information.
- C.** All Provider employees who have access to Departmental information shall comply with, and be provided a copy of CFOP 50-2 Security Awareness Form, and shall sign the

DCF Security Agreement form CF 0114 annually. A copy of CF 0114 may be obtained from NWFHN.

- D.** The Provider shall make every effort to protect and avoid unauthorized release of any personal or confidential information by ensuring both data and storage devices are encrypted as prescribed in CFOP 50-2. If encryption of these devices is not possible, then the Provider shall assure that unencrypted personal and confidential data shall not be stored on unencrypted storage devices. The Provider shall require the same of all subcontractors.
- E.** The Provider agrees to notify the NWFHN designated Data Security Officer as soon as possible, but no later than five (5) business days following the determination of any breach or potential breach of personal and confidential data. The Provider shall require the same notification requirements of all subcontractors.
- F.** The Provider shall provide notice to affected Parties no later than forty-five (45) days following the determination of any potential breach of personal or confidential data provided in Section 501.171, F.S. The Provider shall require the same notification requirements of all subcontractors.
- G.** The Provider shall provide a "Notification of Termination" for any employee with access to data systems to the Data Security Officer. The Data Security Officer shall ensure that user access to the data system or information has been removed from all terminated Provider employees.

XVIII. Termination

- A.** This Subcontract may be terminated by either Party without cause upon no less thirty (30) calendar days' notice in writing to the other Party unless an alternative time is mutually agreed upon in writing. Said notice shall be delivered by U.S. Postal Service or any expedited delivery service that provides verification of delivery or by hand delivery to the Contract Manager or the representative of the Provider responsible for administration of the program.
- B.** In the event funds for payment pursuant to this Subcontract become unavailable, NWFHN may terminate this Subcontract upon no less than twenty-four (24) hours' notice in writing to the Provider. Said notice shall be sent by U.S. Postal Service or any expedited delivery service that provides verification of delivery. NWFHN shall be the final authority as to the availability and adequacy of funds. In the event of termination of this Subcontract, the Provider shall be compensated for any work satisfactorily completed.
- C.** This Subcontract may be terminated for the Provider's non-performance upon no less than twenty-four (24) hours' notice in writing to the Provider. If applicable, NWFHN may employ the default provisions in Rule 60A-1.006(3), F.A.C. NWFHN's failure to demand performance of any provision of this Subcontract shall not be deemed a waiver of such performance. NWFHN's waiver of any one breach of any provision of this Subcontract shall not be deemed to be a waiver of any other breach and neither event shall be construed to be a modification of the terms and conditions of this Subcontract. The provisions herein do not limit NWFHN's right to remedies at law or in equity.

- D.** Breach of any contractual obligations with NWFHN shall be a sufficient cause for termination. To be terminated as a Provider under this provision, the Provider shall have been notified by NWFHN of the unsatisfactory performance and failed to cure the unsatisfactory performance to the satisfaction of the NWFHN within a fifteen (15) day period. Such termination shall be effective as of midnight, beginning the sixteenth (16th) day following the date of the letter sent via certified mail advising of the termination.
- E.** In the event of a breach of this Subcontract, each Party acknowledges that the injury to the other would be irreparable, and the monetary amount of damage therefrom would be difficult or impossible to determine. Each Party shall have all remedies available at law or equity, specifically including, without limitation, entitlement, as a matter of course, to an injunction or similar equitable relief, without bond or with a nominal bond, if allowed by law.
- F.** Upon termination of this Subcontract, neither Party shall have any further obligation hereunder except that: (a) obligations accruing prior to the date of termination; and (b) obligations or covenants contained herein that are intended to extend beyond the term of this Subcontract, including, without limitation, covenants relating to confidentiality, indemnification, and non-competition. Notwithstanding anything contained herein to the contrary, regardless of the termination of this Subcontract, Provider shall continue to provide services to qualified individuals until a case is closed or until the case may be transferred to another provider in accordance with applicable policies and procedures. Additionally, if this Subcontract is terminated for any reason, NWFHN shall pay to Provider accrued but unpaid compensation through the date of termination, subject to available funding. Such payment shall be in full and complete discharge of any and all liabilities or obligations of NWFHN to Provider under this Subcontract, and Provider shall be entitled to no further benefits under this Subcontract. Any amounts owed to NWFHN from Provider may be offset from this payment. To the extent Provider continues to provide post-termination services pursuant to this Subcontract, Provider shall receive post-termination payments, subject to available funding.
- G.** Any notice required or permitted by this Subcontract shall be deemed sufficient if provided in writing and sent by certified mail, return receipt requested, to the Parties at the addresses set forth below. Upon change of representatives, including names, addresses, and telephone numbers, by either Party, notice of said change(s) shall be provided to the other Party in the manner specified above.
- H.** This Subcontract and all provisions herein shall be binding upon and inure to the benefit of the Parties and their respective successors and permitted assigns; however, neither the Subcontract nor any of the rights, interests nor obligations hereunder be assigned by the Provider without the prior written consent of NWFHN.
- I.** No party to this agreement shall enforce a non-compete clause, or any similar prohibition against future employment, relative to employee, regardless of classification, with DCF or any other state employment.

XIX. Transition Activities

- A.** Continuity of service is critical when service under this Subcontract ends and service commences under a new contract. Accordingly, when service shall continue through another provider upon the expiration or earlier termination of this Subcontract, the Provider shall, without additional compensation, complete all actions necessary to smoothly transition service to the new provider. This includes but is not limited to the transfer of relevant data and files, as well as property funded or provided pursuant to this Subcontract. The Provider shall be required to support an orderly transition to the next provider no later than the expiration or earlier termination of this Subcontract and shall support the requirements for transition as specified in a Department-approved Transition Plan, which shall be developed jointly with the new provider in consultation with the Department. (See Attachment III, Section 1(H).)

XX. Dispute Resolution

- A.** It is the policy of NWFHN to enter into formal subcontracts with providers based on the types of services offered, the service philosophy, documentation of success, and relations with the community stakeholders. Any dispute concerning performance of this Subcontract or payment hereunder shall be resolved according to NWFHN OP 700-714, Denial and Appeal of Potential Contract Providers.

B. Other Terms

- 1.** This Subcontract and its attachments, and any exhibits, together with any documents incorporated by reference, contain all the terms and conditions agreed upon by the Parties. There are no provisions, terms, conditions, or obligations other than those contained herein, and this Subcontract shall supersede all previous communications, representations, or agreements, either verbal or written between the Parties.
 - a.** If any term or provision of this Subcontract is legally determined unlawful or unenforceable, the remainder of the Subcontract shall remain in full force and effect and such term or provision shall be stricken.
 - b.** This Subcontract may be executed in any number of counterparts, each of which shall be deemed an original, but all of which shall constitute one instrument.
 - c.** Except as otherwise provided in this Subcontract, any breach by a Party may only be waived by the other Party in a written instrument signed by the waiving Party. Such waiver shall not operate as a waiver or estoppels with respect to any subsequent or other breach.

XXI. Survival of Terms

- A.** The Parties agree that, unless a provision of this Subcontract, its attachments or incorporated documents expressly states otherwise as to itself or a named provision, all provisions of this Subcontract concerning obligations of the Provider and remedies available to NWFHN and/or the Department are intended to survive the ending date or an earlier termination of this Subcontract. The Provider's performance pursuant to such surviving provisions shall be without further payment, as the payments received during the term of this Subcontract are consideration for such performance.

XXII. Modifications

- A.** Modification of provisions of this Subcontract shall be valid only when they have been reduced to writing and duly acknowledged by the signature of both Parties. No handwritten or other modifications to this version of the Subcontract shall be permitted following the execution of this Subcontract by either of the Parties, unless they are expressly acknowledged by the initials of both signatories on the page on which the modifications occur.

XXIII. Staffing and Professional qualifications

- A.** A Level 2 screening shall be completed every five years on staff and volunteers. Beginning August 1, 2010, Provider may not hire an employee that requires background screening until the screening process is completed and a clearance letter or exemption from disqualification is obtained from the Department. A national criminal records check by the Federal Bureau of Investigation every five years following the date of the person's employment is required. Provider shall ensure that staff who are employed to work directly with children are at least eighteen (18) years of age. Provider shall have a personnel file for each employee which shall include but is not limited to the following:

1. The application for employment, including a two-year employment history check;
2. A written job description, signed by the employee and the employee's immediate supervisor;
3. Documentation that the employee meets the minimum job requirements as established by Provider;
4. A signed affidavit of good moral character;
5. A minimum of two character reference letters or reference checks from unrelated individuals;
6. Verification of a delinquency record screening, if applicable;
7. For Residential Providers only, verification of an Abuse Registry clearance and criminal records check meeting the requirements outlined in this Subcontract;
8. Employee's starting and termination dates and reason for termination;
9. Annual performance evaluations and any disciplinary actions taken;
10. Log of training hours completed;
11. Evidence of the use of the E-Verify system as referenced in this Subcontract.

XXIV. Employment Screening

- A.** The Provider shall ensure that all staff utilized by the Provider and its subcontractors that are required by Florida law to be screened in accordance with Chapter 435, F.S., are of good moral character and meet the Level 2 Employment Screening standards specified Sections 435.04, 110.1127, and subsection 39.001(2), F.S., as a condition of initial and continued employment that shall include all of the following but not be limited to:
1. Employment history checks;
 2. Fingerprinting for all criminal record checks;
 3. Statewide criminal and juvenile delinquency records checks through the Florida Department of Law Enforcement (FDLE);
 4. Federal criminal records checks from the Federal Bureau of Investigation via the FDLE;
 5. Security background investigation, which may include local criminal record checks through local law enforcement agencies.
 6. The Provider shall sign an affidavit each State fiscal year for the term of the Subcontract stating that all required staff has been screened or the Provider is awaiting the results of screening.

XXV. Employment Eligibility Verification (E-VERIFY)

- A.** Provider shall enroll as a Provider in the E-Verify program within thirty (30) calendar days of the start date of this Subcontract. Within ninety (90) calendar days of enrollment in the E-Verify program, Provider shall begin to use E-Verify to initiate verification of employment eligibility. All new employees assigned by the Provider to perform work pursuant to this Subcontract with NWFHN shall be verified as employment eligible within three (3) business days after the date of hire.
- B.** Provider shall comply, for the period of performance of this Subcontract, with the requirements of E-Verify program enrollment.
1. The Department of Homeland Security (DHS) or the Social Security Administration (SSA) may terminate the Provider's enrollment and deny access to the E-Verify system in accordance with the terms of the enrollment. In such case, the Provider shall be referred to a DHS or SSA suspension or debarment official.
 2. During the period between termination of the enrollment and a decision by the suspension or debarment official whether to suspend or debar, the Provider is excused from its obligations as listed above. If the suspension or debarment official determines not to suspend or debar the Provider, then the Provider shall reenroll in E-Verify.
 3. Information on registration for and use of the E-Verify program may be obtained via the Internet at the Department of Homeland Security Web site: <http://www.dhs.gov/E-Verify>

4. Provider is not required by this clause to perform additional employment verification using E-Verify for any employee whose employment eligibility was previously verified by the Provider/grantee through the E-Verify program.

XXVI. Administrative Office(s) and Service Locations

A. Administrative Office(s) Location

1. Name: Click here to enter text.
2. Address: Click here to enter text.
3. City, State ZIP: Click here to enter text.

B. Administrative Office Times

1. Administrative offices shall be open from 8:00 a.m. until 5:00 p.m. Monday through Friday except for holidays.

C. Service Delivery Location

1. Name: Click here to enter text.
2. Address: Click here to enter text.
3. City, State Zip: Click here to enter text.

D. Service Delivery Office Times

1. Service Delivery offices shall be open from 8:00 a.m. until 5:00 p.m. Monday through Friday except for holidays.

E. Changes in Location

1. Provider shall notify NWFHN in writing within thirty (30) days prior to any change in location of its administrative offices or facilities.

XXVII. Provider Indemnity

- A.** The Provider, upon notice, shall be fully liable for the actions of its agents, employees, partners, or subcontractors and shall fully indemnify, defend, and hold harmless the State, the Department, NWFHN, and their officers, agents, and employees, from suits, actions, damages, and costs of every name and description, including attorneys' fees, arising from or relating to any alleged act or omission by the Provider, its agents, employees, partners, or subcontractors alleged to be caused in whole or in part by Provider, its agents, employees, partners, or subcontractors, provided, however, that the Provider shall not indemnify for that portion of any loss or damages proximately caused by the negligent acts or omissions of the Department. The following additional

terms shall also apply:

1. The Provider shall fully indemnify, defend, and hold harmless the State, the Department, and NWFHN from any suits, actions, damages, and costs of every name and description, including attorneys' fees, arising from or relating to violation or infringement of a trademark, copyright, patent, trade secret or intellectual property right, related to or arising from the performance of this Agreement, provided, however, that the foregoing obligation shall not apply to the Department's and/or NWFHN's misuse or modification of Provider's products or the Department and/or NWFHN operation or use of Provider's products in a manner not contemplated by this Subcontract. If any product is the subject of an infringement suit, or in the Provider's opinion is likely to become the subject of such a suit, the Provider may at its sole expense procure for the Department and/or NWFHN the right to continue using the product or to modify it to become non-infringing. The Department and/or NWFHN shall not be liable for any royalties. If the Provider removes an infringing product because it is not reasonably able to modify that product or secure the Department and/or NWFHN the right to continue to use that product, the Provider shall immediately replace that product with a non-infringing product that the Department and/or NWFHN determines to be of equal or better functionality or be liable for the Department's and/or NWFHN's cost in so doing.
2. Further, the Provider shall indemnify the Department and/or NWFHN for all costs and attorneys' fees arising from or relating to Provider's claim that a record contains trade secret information that is exempt from disclosure or the scope of the Provider's redaction of the record, as provided for under this Subcontract, including litigation initiated by the Department and/or NWFHN.
3. Notwithstanding the above, the Provider's obligation to indemnify, defend, and hold harmless the Department and/or NWFHN shall not include the acts or omissions of any Provider partner or subcontractor that is not a direct provider of foster care and related services to children and families. The Provider's obligation to indemnify, defend, and hold harmless the Department and/or NWFHN shall also not include damages and costs, including attorneys' fees, arising from the acts or omissions of any Provider subcontractor that is a direct provider of foster care and related services to children and families to the extent that such subcontractor indemnifies, defends, and holds harmless the Department and/or NWFHN for the subcontractor's acts or omissions. The Provider remains responsible to ensure that its subcontractors providing foster care and related services indemnify, defend, and hold harmless the Department and/or NWFHN, provided, however, that the subcontractor shall not indemnify for that portion of any loss or damages proximately caused by the negligent acts or omissions of the Department and/or NWFHN. Nothing in this Subcontract, the attachments thereto, or the other documents referenced in any of them is intended to or shall waive the statutory limits of liability of the Provider or the subcontractor under Section 409.993, F.S., or Section 39.011, F.S, or the ability of the Provider to claim immunity thereunder.

**ATTACHMENT III
FINANCIAL LANGUAGE**

Section 4

I. Compensation and Billing

- A.** NWFHN shall pay Provider for the services provided during the term of this Subcontract. Payments shall only be made for allowable costs pursuant to 2 CFR 200, the State of Florida Reference Guide for Expenditures and in accordance with this Subcontract.
- B.** The Provider shall provide units of deliverables, including reports, findings, and drafts, as specified in this Subcontract. These deliverables shall be received and accepted by NWFHN prior to payment. The Provider shall submit bills for fees or other compensation for services or expenses in sufficient detail for proper pre-audit and post-audit; where itemized payment for travel expenses are permitted in this Subcontract, submit bills for any travel expenses in accordance with Section 112.061, F.S., or at such lower rates as may be provided in this Subcontract. To allow public access to all documents, papers, letters, or other public records as defined in subsection 119.011(12), F.S., and as prescribed by subsection 119.07(1), F.S., made or received by the Provider in conjunction with this Subcontract except that public records which are made confidential by law shall be protected from disclosure. It is expressly understood that the Provider's failure to comply with this provision shall constitute an immediate breach of contract for which NWFHN may unilaterally terminate the Subcontract.
- C.** Provider shall submit all invoices to NWFHN within three (3) days after the end of each month for all services provided during such month. Invoices shall be submitted electronically to contracts@nwfhealth.org. If the third (3rd) calendar day falls on a weekend or holiday the invoice and all documentation is due the next working day. Invoices that are submitted past the three (3) calendar days may result in the implementation of a Corrective Action plan and may cause payment to be delayed. In no event, regardless of the cause or circumstance, shall NWFHN be responsible or liable for payment of any invoices submitted to NWFHN more than ninety (90) calendar days after the end of the month in which the services were rendered.
- D.** NWFHN shall make payment to Provider within thirty (30) calendar days of receipt of a complete and correct invoice package unless there is a delay in funding from the Department. NWFHN shall not be obligated to make any payment to Provider if Provider does not follow NWFHN's invoicing and billing procedures, unless and until necessary corrections are made by Provider. Within fifteen (15) working days of receipt of an invoice from Provider, NWFHN shall provide notice to Provider of any invoice requiring correction or backup documentation, including the reason for the required correction/backup documentation. Provider shall have thirty (30) days from the time of notification by NWFHN to correct problems with its invoices.
- E.** At its discretion, NWFHN has the right to withhold payment to Provider if Provider does not submit complete and correct reports in a timely manner as described in this Subcontract. NWFHN shall notify Provider in writing prior to delaying invoice payment due to late or incomplete reports.

F. In the event that payment to NWFHN from the Department is delayed, and in the event that this delay in payment shall cause a delay in payment to Provider, NWFHN shall notify the Provider within fifteen (15) days of receiving notification from the Department.

G. Third Party Payments

1. The funding available in this Subcontract is for services, excluding all successfully billed third Party payments, including, but not limited to Medicaid. Supporting documentation of aggregate third Party collections shall be available at the Provider's location for inspection by NWFHN and the Department.

H. Surplus Revenue

1. Upon termination of this contract, or at the end of Fiscal Year, whichever comes first, a Financial Report must be generated from a detail general ledger accounting system. The financial statement must be in the form of a schedule of revenue and expenditures, budget to actual, and must be on the accrual basis of accounting. If the report provided is not in a format generated directly from a general ledger accounting system, then the provider must submit supporting documentation from a general ledger accounting system that reconciles to the financial report. The provider will be expected to return surplus resources (revenues greater than allowable expenditures) to NWFHN. See Attachment II, Section 19.

II. Financial Penalties for Failure to Take Corrective Action

A. In accordance with the provisions of subsection 402.73(1), F.S., and Rule 65C-29.001, Florida Administrative Code (F.A.C.), corrective action may be required for noncompliance, nonperformance, or unacceptable performance under this Subcontract. Penalties may be imposed for failures to implement or to make acceptable progress on such corrective action.

B. The increments of penalty imposition that may apply, unless NWFHN determines that extenuating circumstances exist, shall be based upon the severity of the noncompliance, nonperformance, or unacceptable performance that generated the need for corrective action. The penalty, if imposed, shall not exceed ten percent (10%) of the total contract payments during the period in which the corrective action has not been implemented or in which acceptable progress toward implementation has not been made.

1. Noncompliance that is determined to have a direct effect on client health and safety shall result in the imposition of a ten percent (10%) penalty of the total contract payments during the period in which the Corrective Action Plan has not been implemented or in which acceptable progress toward implementation has not been made.
2. Noncompliance involving the provision of service not having a direct effect on client health and safety shall result in the imposition of a five percent (5%) penalty.

3. Noncompliance as a result of unacceptable performance of administrative tasks shall result in the imposition of a two percent (2%) penalty.

III. Overpayments

- A. The Provider shall return to NWFHN any overpayments due to unearned funds or funds disallowed that were disbursed to the Provider by NWFHN and any interest attributable to such funds pursuant to the terms and conditions of this Subcontract.
- B. In the event that the Provider or its independent auditor discovers that an overpayment has been made, the Provider shall repay said overpayment immediately without prior notification from NWFHN.
- C. In the event that NWFHN first discovers an overpayment has been made, the Contract Manager, on behalf of NWFHN, shall notify the Provider by letter of such findings. Should repayment not be made forthwith, the Provider shall be charged interest at the lawful rate of interest on the outstanding balance after NWFHN notification or upon Provider discovery. This provision shall survive the termination of this Subcontract.
- D. At all reasonable times for as long as records are maintained, persons duly authorized by NWFHN, the department and State and Federal auditors, pursuant to 45 CFR, section 92.36(i)(10), shall be allowed full access to and the right to examine any of the Provider's contracts and related records and documents, regardless of the form in which kept.

IV. Federal and/or State Single Audit(s)

- A. Provider may be required to have a Federal and/or State Single Audit(s) performed annually by a qualified CPA firm.

1. Federal Requirements

- a. If the Provider expends the dollar threshold of seven hundred and fifty thousand dollars (\$750,000.00) or more in Federal awards in a fiscal year it shall be required to have a Federal Single Audit conducted in accordance with the Federal guidelines of either 2 CFR Part 200-200.521, Uniform Grant Guidance, Cost Principles, and Audit Requirements for Federal Awards ("2 CFR 200"). If a Federal Single Audit is required in accordance with this regulation, the Provider is required to submit an electronic copy of the Federal Single Audit completed to NWFHN, within one hundred and eighty (180) days of its fiscal year end or within thirty (30) days of receipt, whichever is earlier. The Provider shall also submit to NWFHN certification that the Federal Single Audit was sent to the Federal Audit Clearinghouse.

2. State Requirements

- a. In the event the recipient expends seven hundred fifty thousand dollars (\$750,000.00) or more in State financial assistance during its fiscal year, the recipient shall have a State Single or Program-specific Audit conducted in accordance with Section 215.97, F.S.; applicable rules of the Department of Financial Services; and Chapters 10.550 (local governmental entities) or 10.650 (nonprofit and for-profit organizations), Rules of the Auditor General. The recipient agrees to provide a copy of the single audit to the Department's Single Audit Unit and its Contract Manager. In the event the recipient expends less than seven hundred fifty thousand dollars (\$750,000.00) in State financial assistance during its fiscal year, the recipient agrees to provide certification to the Department's Single Audit Unit and its Contract Manager that a single audit was not required. In determining the State financial assistance expended during its fiscal year, the recipient shall consider all sources of State financial assistance, including State financial assistance received from the Department of Children and Families, other State agencies, and other non-State entities. State financial assistance does not include Federal direct or pass-through awards and resources received by a non-State entity for Federal program matching requirements. If a State Single Audit is required in accordance with this regulation, the Provider is required to submit an electronic copy of the State Single Audit to NWFHN, within one hundred and eighty (180) days of their fiscal year end or within forty-five (45) days of receipt, whichever is earlier. Provider shall also submit to NWFHN certification (electronically) that the State Single Audit was sent to the Auditor General.
3. Provider shall comply and cooperate immediately with any inspections, reviews, investigations, or audits deemed necessary by The Office of the Inspector General (Section 20.055, F.S.). This provision shall survive the termination of this Subcontract.
4. Provider must ensure the indirect/administrative cost shall not exceed the lesser of the following:
 - a. If applicable; Provider's federal indirect cost rate awarded by the Provider's cognizant Federal awarding agency; or
 - b. The Federally recognized indirect cost rate not to exceed ten percent (10%) of modified total direct cost (MTDC), as identified by Title 2 CFR part 200.414, (Indirect F&A Costs).
5. Title 2 CFR part 200.68 defines MTDC as all direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel, and up to the first \$25,000 of each sub-award (regardless of the period of performance of the sub-awards under the award). MTDC excludes equipment, capital expenditures, charges for

patient care, rental costs, tuition remission, scholarships and fellowships, participant support costs and the portion of each sub-award in excess of \$25,000. Other items may only be excluded when necessary to avoid a serious inequity in the distribution of indirect costs, and with the approval of the cognizant agency for indirect costs.

6. Provider shall submit their annual contracted budget in an Excel format at inception of this Subcontract and subsequently only if there are substantial changes in service delivery or available funding. Request for the annual contracted budget shall be due ninety (90) days prior to any subcontract execution. Provider shall use the prescribed Budget and Agency Staff Template provided by NWFHN. Included with the budget, Provider shall have allocation methodologies, approved by NWFHN. For any staff that is not funded one hundred percent (100%) by this NWFHN program, Provider shall have prior approval of the allocation methodology. The Budget and Agency Staff Template shall be submitted electronically to contracts@nwfhealth.org.
7. Semi-annually, NWFHN will review requests for budgetary changes. For implementation beginning January 1, Provider must submit the required reports in Excel by September 15. For implementation beginning July 1, Provider must submit the required reports in Excel by March 15. Required reports include those listed in the Budget and Agency Staff Template.
8. Use of Funds for Lobbying Prohibited
 - a. No Federal funds received in connection with this Subcontract may be used by the Provider, or agent acting for the Provider, or subcontractor to influence legislation or appropriations pending before the Congress or any State legislature. If this Subcontract contains Federal funding in excess of one hundred thousand dollars (\$100,000.00), the Provider must, prior to subcontract execution, complete the Certification Regarding Lobbying Form. If a Disclosure of Lobbying Activities form, Standard Form LLL, is required, it may be obtained from NWFHN. All disclosure forms as required by the Certification Regarding Lobbying Form, shall be completed and returned to NWFHN, prior to payment under this Subcontract.
9. Federal Funding Accountability and Transparency ACT
 - a. The Provider shall complete and sign the FFATA Certification of Executive Compensation Reporting Requirements form (CF 1111 or successor) if this Subcontract includes twenty-five thousand dollars (\$25,000.00) or more in Federal funds (as determined over its entire term). The Provider shall also report the total compensation of its five (5) most highly paid executives if it also receives in excess of eighty percent (80%) of its annual gross revenues from Federal Funds.

V. Method of Payment

- A.** Provider shall maximize the use of federal funding and state programs such as Medicaid, Supplemental Security Income (SSI) to accurately determine eligibility for Florida's TANF, Title IV- B and Title IV-E State Plans, and CFOPs 170-15 as well as Promoting Safe and Stable Families (PSSF).. Additionally, the Provider shall ensure that its client eligibility records are maintained according to the Department's record retention schedule and made available for federal and state audits. Failure to earn appropriate funds may result in a corresponding reduction of the total amount paid under this Subcontract.
- B.** This Subcontract contains a fixed price method of payment which shall be adjusted for vacancies. NWFHN shall pay Provider for the delivery of services provided in accordance with the terms of this Subcontract for a total dollar amount not to exceed **\$XX** annually subject to the availability of funds.
- C.** To the extent Provider's actions, including, without limitation, failure to promptly report change of placements or comply with established case staffing processes, results in unrecoverable or unauthorized payments, Provider's monthly compensation may be adjusted to provide the funds necessary to compensate for such losses. Provider understands and agrees that if Provider fails to report a change of placement that results in an unnecessary payment to a residential facility or a foster home, the amount of the unnecessary payment may be deducted from Provider's monthly payment. Provider understands that placement of a child in a residential facility, therapeutic foster care or enhanced foster care may be approved through the established staffing process for a limited duration. Continuation of placement after the end of the authorized service period in such programs can be authorized only through the established staffing process. Failure by Provider to attain authority through the established staffing procedure for continuation of such placement that results in an unauthorized expense to NWFHN may cause the deduction of an equal amount of such unauthorized expense from Provider's monthly payment. If applicable, any adoption subsidy file that is determined to not be in compliance with these requirements may be subject to penalty and repayment of funds relating to the non-compliance by the Provider.
- D.** In the event Provider fails to meet reporting compliance deadlines, monthly compensation shall be dispersed contingent upon receipt of the reports by NWFHN.
- E.** Any surplus funds remaining from the delivery of services under this Subcontract, within the terms of this Subcontract, must be returned to NWFHN.
- F.** Funds received for service under this Subcontract are not to be used for direct client expenses. Provider has access to Purchase of Service (POS)/flex funds, not included in this Subcontract, for direct client expenses, if applicable.
- G.** NWFHN reserves the right to reallocate available funds as necessary for the success of the project based on quarterly reviews of required reports.
- H.** Provider understands and agrees that NWFHN's obligation to pay any compensation is contingent upon NWFHN's receipt of funds due from the Department. Accordingly, payments by NWFHN to Provider shall be appropriately adjusted to reflect any shortfall in the receipt of funds by NWFHN from the Department. NWFHN shall notify Provider

as soon as possible of any such discrepancy. Notwithstanding the foregoing, to the extent the shortfall is directly attributable to the identifiable negligence or wrongful act NWFHN or another Provider, the payments by NWFHN to Provider shall not be adjusted.

- I. Provider shall submit all monthly invoices using the form provided by NWFHN (Service Invoice) and supported by the vacancy adjustment, which is contained in the Vacancy Adjustment Calculation Form and described below.
- J. Provider shall submit a separate invoice for the Case Management services and Visitation services on a monthly basis. Both invoices shall be submitted together in one submission to NWFHN.
- K. If applicable, Provider understands that this Subcontract contains Promoting Safe and Stable Families Act funds which have a twenty-five percent (25%) match requirement (cash or in-kind) and that the estimated amount of this funding each month may be as much as thirty-three percent (33%) of the monthly contract amount. If this Subcontract also contains State Visitation and Access Grant funds it will have a ten percent (10%) match requirement (cash or in-kind). Provider is required to document match on a monthly basis and submit the **Match Documentation and Adjustment Form and Match Back-Up Documentation**, with the invoice.

VI. Vacancy Adjustment Calculation

- A. If a position is vacant on the first day of the contract Provider shall deduct the amount of that position from the invoice for the number of days the position remains vacant until it is filled. After a position is initially filled, the provisions of item 5.b., above, apply.
- B. At any time a position is vacant, including holidays, NWFHN shall deduct 80% from the invoice. The following will be considered in the calculation:
 - 1. $((\text{Total Weekdays Vacant in current month})/(\text{Total Weekdays in current month}) \times (\text{Monthly cost for Vacant Position})) \times .8$
 - 2. New Total Weekday-Vacant days are calculated starting with the day after the vacant position termination date.
 - 3. Current Vacant Positions are the summed workdays between the first and last day of the month.
 - 4. If a position is filled during the month, the day before the start date will be used for the final calculation.
- C. In the event that a vacancy is created by an employee entering a family medical leave status, long-term disability status, or other long-term leave status, and the employee has exhausted all available paid leave hours (vacation, sick or paid time off), and the vacated position has not been temporarily filled within thirty (30) weekdays of exhaustion of all of the employee's paid leave hours, then the monthly contract payment for the vacant position shall be reduced by eighty percent (80%), until such time as the vacancy has been filled. Provider shall notify NWFHN within five (5) weekdays of employee's request to utilize any of the above listed leave status.

VII. Provider Match Funding

- A. This contract does not have a funding match requirement.
- B. This contract does have a funding match requirement for the following program and amount.

- 1. If applicable, Provider shall submit monthly match reports on the provided Match Reporting Template and submit them with the monthly required service invoices.

VIII. Purchase of Service (POS) Adjustments

- A. If applicable, contract funding shall be adjusted by NWFHN when purchase of service (POS) funding is cumulatively over spent at either the end of the contract or at each period ending June 30th of NWFHN's fiscal year, whichever occurs first. The adjustment shall occur after year end or Subcontract year end expenditures are finalized and total amounts applied to the final contract invoice or the June 30th invoice, whichever occurs first. The adjustment shall be a reduction of the total current annual contract amount equal to the amount of the over expenditure to the program's allocated POS budget. These expenditures cannot be changed to the DCM contract but must be borne by the agency.

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**ATTACHMENT IV
LAWS & REGULATIONS LANGUAGE**

Section 5

I. Additional Requirements Of Law, Regulation And Funding Source

A. State of Florida Law

1. This Subcontract is executed and entered into in the State of Florida, and shall be construed, performed and enforced in all respects in accordance with Florida law, without regard to Florida provisions for conflict of laws.

B. Federal Law

1. If this Subcontract contains Federal funds, the Provider shall comply with the provisions of 45 Code of Federal Regulations (CFR) Part 74, 45 CFR Part 92, and other applicable regulations.
2. Clean Air Act (42 U.S.C. 7401-7671q.) and the Federal Water Pollution Control Act (33 U.S.C. 1251-1387), as amended—Contracts and sub-grants of amounts in excess of \$150,000 must contain a provision that requires the non-Federal award to agree to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401-7671q) and the Federal Water Pollution Control Act as amended (33 U.S.C. 1251- 1387). Violations must be reported to the Federal awarding agency and the Regional Office of the Environmental Protection Agency (EPA).
3. Byrd Anti-Lobbying Amendment (31 U.S.C. 1352 (/uscode/text/31/1352))—Contractors that apply or bid for an award of \$100,000.00 or more must file the required certification. Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining and Federal contract, grant or any other award covered by 31 U.S.C. 1352 (/uscode/text/31/1352). Each tier must also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from “tier to tier” up to the non-Federal award.
4. Unauthorized aliens shall not be employed. NWFHN shall consider the employment of unauthorized aliens a violation of section 274A (e) of the Immigration and Nationality Act (8 U.S.C, section 1324 a) and section 101 of the Immigration Reform and Control Act of 1986. Such violation shall be cause for unilateral cancellation of this Subcontract.
5. If this Subcontract contains Federal funds and provides services to children up to age 18, the Provider shall comply with the Pro-Children Act of 1994 (20 USC 6081). Failure to comply with the provisions of the law may result in the imposition

of a civil monetary penalty of up to one thousand dollars (\$1,000.00) for each violation or the imposition of an administrative compliance order on the responsible entity, or both.

C. Civil Rights Requirements

1. In accordance with Title VII of the Civil Rights Act of 1964, the Americans with Disabilities Act of 1990, or the Florida Civil Rights Act of 1992, as applicable the Provider shall not discriminate against any employee (or applicant for employment) in the performance of this Subcontract because of race, color, religion, sex, national origin, disability, age, or marital status. Further, the Provider agrees not to discriminate against any applicant, client, or employee in service delivery or benefits in connection with any of its programs and activities in accordance with 45 CFR, Parts 80, 83, 84, 90, and 91, Title VII of the Civil Rights Act of 1964, or the Florida Civil Rights Act of 1992, as applicable and CFOP 60-16. These requirements shall apply to all contractors, subcontractors, sub grantees or others with whom it arranges to provide services or benefits to clients or employees in connection with its programs and activities. If employing fifteen (15) or more employees, the Provider shall complete the Civil Rights Compliance Checklist, CF Form 946 within thirty (30) days of execution of this Subcontract and annually thereafter in accordance with 45 CFR, Part 80 and CFOP 60-16.

D. Public Entity Crime and Discriminatory Contractors

1. Pursuant to Sections 287.133 and 287.134, F.S., the following restrictions are placed on the ability of persons placed on the convicted vendor list or the discriminatory vendor list. When a person or affiliate has been placed on the convicted vendor list following a conviction for a public entity crime, or an entity or affiliate has been placed on the discriminatory vendor list, such person, entity or affiliate may not submit a bid, proposal, or reply on a contract to provide any goods or services to a public entity; may not submit a bid, proposal, or reply on a contract with a public entity for the construction or the repair of a public building or public work; may not submit bids, proposals, or replies on leases of real property to a public entity; may not be awarded or perform work as a contractor, supplier, subcontractor, or consultant under a contract with any public entity; and may not transact business with any public entity; provided, however, that the prohibition on persons or affiliates placed on the convicted vendor shall be limited to business in excess of the threshold amount provided in Section 287.017, F.S., for Category Two for a period of thirty-six (36) months from the date of being placed on the convicted vendor list.

E. Scrutinized Companies

1. If this Subcontract is for an amount of one million dollars (\$1,000,000.00) or more, NWFHN may terminate this Subcontract at any time the Provider is found to have submitted a false certification under Section 287.135, F.S., or has been placed on

the Scrutinized Companies with Activities in Sudan List or the Scrutinized Companies with Activities in the Iran Petroleum Energy Sector List.

F. Client and Other Confidential Information

1. State laws providing for the confidentiality of client and other information include but are not limited to Sections 39.0132, 39.00145, 39.202, 39.809, 39.908, 63.162, 63.165, 383.412, 394.4615, 397.501, 409.821, 409.175, 410.037, 410.605, 414.295, 415.107, 741.3165 and 916.107, F.S. Federal laws and regulations to the same effect include section 471(a)(8) of the Social Security Act, section 106(b)(2)(A)(viii) of the Child Abuse Prevention and Treatment Act, 7 U.S.C. section 2020(e)(8), 42 U.S.C. section 602 and 42 U.S.C. section 1396a(a)(7) and 7 CFR section 272.1(c), 42 CFR sections 2.1-2.3, 42 CFR section 431.300-30645, CFR section 400.27(a) and 45 CFR section 205.50. A summary of Florida Statutes providing for confidentiality of this and other information are found in Part II of the Attorney General's Government in the Sunshine Manual, as revised from time to time.

G. Whistle-Blower's ACT Requirements

1. In accordance with subsection 112.3187(2), F.S., the Provider and its subcontractors shall not retaliate against an employee for reporting violations of law, rule, or regulation that creates substantial and specific danger to the public's health, safety, or welfare to an appropriate agency. Furthermore, agencies or independent contractors shall not retaliate against any person who discloses information to an appropriate agency alleging improper use of governmental office, gross waste of funds, or any other abuse or gross neglect of duty on the part of an agency, public officer, or employee. The Provider and any subcontractor shall inform its employees that they and other persons may file a complaint with the Office of Chief Inspector General, Agency Inspector General, Florida Commission on Human Relations, or the Whistleblower's Hotline number at 1-800-543-5353.

H. Support to the Deaf or Hard of Hearing

1. The Provider and its subcontractors, where direct services are provided, shall comply with Section 504 of the Rehabilitation Act of 1973, 29 U.S.C. 794, as implemented by 45 CFR Part 84 (hereinafter referred to as Section 504), the Americans with Disabilities Act of 1990, 42 U.S.C. 12131, as implemented by 28 CFR Part 35 (hereinafter referred to as ADA), and the Children and Families Operating Instruction (CFOP) 60-10, Chapter 4, entitled "Auxiliary Aids and Services for the Deaf or Hard of Hearing."

- a. If the Provider or any of its subcontractors employs fifteen (15) or more employees, the Provider shall designate a Single-Point-of-Contact (one (1) per firm) to ensure effective communication with deaf or hard of

- hearing customers or companions in accordance with Section 504 of the Rehabilitation Act of 1973, the ADA, and CFOP 60-10, Chapter 4. The name and contact information for the Provider's Single-Point-of-Contact shall be furnished to NWFHN within fourteen (14) calendar days of the signing this Subcontract.
- b. The Provider shall, within thirty (30) days of the effective date of this requirement, contractually require that its subcontractors comply with Section 504 of the Rehabilitation Act of 1973, the ADA, and CFOP 60-10, Chapter 4. A Single-Point-of-Contact shall be required for each subcontractor that employs fifteen (15) or more employees. This Single-Point-of-Contact shall ensure effective communication with deaf or hard of hearing customers or companions in accordance with Section 504 of the Rehabilitation Act of 1973, the ADA, and coordinate activities and reports with the Provider's Single- Point-of-Contact.
 - c. The Single-Point-of-Contact shall ensure that employees are aware of the requirements, roles and responsibilities, and contact points associated with compliance with Section 504 of the Rehabilitation Act of 1973, the ADA, and CFOP 60-10, Chapter 4. Further, employees of Providers and its subcontractors with fifteen (15) or more employees shall attest in writing that they are familiar with the requirements of Section 504 of the Rehabilitation Act of 1973, the ADA, and CFOP 60-10, Chapter 4. This attestation shall be maintained in the employee's personnel file.
 - d. The Provider's Single-Point-of-Contact shall ensure that conspicuous Notices which provide information about the availability of appropriate auxiliary aids and services at no cost to the deaf or hard of hearing customers or companions are posted near where people enter or are admitted within the agent locations. Such Notices shall be posted immediately by Providers and subcontractors. The approved Notice may be downloaded through the Internet at: <https://www.myflfamilies.com/about/additional-services-offices/ocr/id/dcf-auxiliary-aids-and-service-plan>.
 - e. The Provider and its subcontractors shall document the customer's or the companion's preferred method of communication and any requested auxiliary aids/services provided in the customer's record. Documentation, with supporting justification, shall also be made if any request was not honored. The Provider shall submit compliance reports through the DCF website: https://fs16.formsite.com/DCFTraining/Monthly-Summary-Report/form_login.html along with confirmation and a copy of the report submitted by the third (3rd) business day following the reporting month, to NWFHN. The Provider shall distribute "Customer Feedback" forms to customers or companions, and provide assistance in completing the forms as requested by the customer or companion.

- f. If customers or companions are referred to other agencies, the Provider shall ensure that the receiving agency is notified of the customer's or the companion's preferred method of communication and any auxiliary aids/service needs.
- g. NWFHN requires the Provider's direct service employees to complete "Effective Communication Training" on-line and sign the Attestation of Understanding. Direct service employees shall also print their certificate of completion, attach it to their Attestation of Understanding, and maintain them in their personnel file.
- h. In the event that deaf or hard of hearing clients or their companions are referred or are provided services under this Subcontract, Provider shall:
 - i. Document the client's or companion's preferred method of communication and any requested auxiliary aids/services provided in the client's record. Documentation, with supporting justification, shall also be made if any request was not honored.
 - ii. Submit a Compliance Report online monthly, by the third (3rd) business day following the reporting month. Provider is to submit these reports electronically through the DCF website along with confirmation, and a copy of the report shall be submitted to NWFHN.
 - iii. If requested, Provider shall distribute Customer Feedback forms to clients or companions, and provide assistance in completing the forms as requested by the client or companion. If clients or companions are referred to other agencies, Provider shall ensure that the receiving agency is notified of the client's or companion's preferred method of communication and any auxiliary aids/service needs.

I. Employment of Unauthorized Aliens Prohibited

- 1. Provider shall not employ unauthorized aliens. Employment of unauthorized aliens shall be considered a violation of Section 274A of the Immigration and Nationality Act (8 U.S.C., section 1324a). Such violation shall be cause for termination of this Subcontract by NWFHN.

J. Human Subject Research

- 1. The Provider shall comply with the requirements of CFOP 215-8 for any activity under this Subcontract involving human subject research within the scope of 45 CFR, Part 46, and 42 U.S.C., section 289, et seq., and may not commence such activity until review and approval by the Department's Human Protections Review Committee and a duly constituted Institutional Review Board.